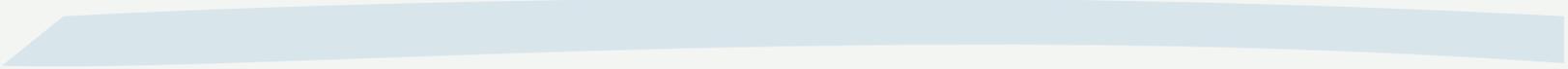


LIRS: Low Inter-reference Recency Set Replacement for VM and Buffer Caches

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In collaborations with
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Least Recent Used (LRU) Replacement

- LRU is most commonly used replacement for data management.
- Blocks are ordered by **recency** in the LRU stack.
- Blocks enter from the top, and leave from bottom.

The stack is long, the bottom is the only exit.

A block evicted from the bottom of the stack should have been evicted much earlier !



LRU stack

The Problem of LRU Replacement

Inability to cope with weak access locality

- **File scanning**: one-time accessed blocks are not replaced timely; (e.g. 50% disk data in NCAR only used once).
- **Loop-like accesses**: blocks to be accessed soonest can be unfortunately replaced;
- **Accesses with distinct frequencies**: Frequently accessed blocks can be unfortunately replaced.

Reasons for LRU to Fail but Powerful

- **Why LRU fails sometimes?**
 - A **recently used** block will not necessarily be used again or soon.
 - The prediction is based on a **single source** information.
- **Why it is so widely used?**
 - **Simplicity**: an easy and simple data structure.
 - Works well for accesses **following LRU assumption**.

The Challenges of Addressing the LRU problem

Two types of efforts to improve/replace LRU have been made:

- Case by case; or
- Building complex structure with high runtime overhead

Our contributions in SIGMETRICS'02 (Jiang and Zhang)

- Address the **limits** of LRU fundamentally.
- Retain the **low overhead** and **strong locality** merits of LRU.
- **Widely adopted** in buffer management in production systems.

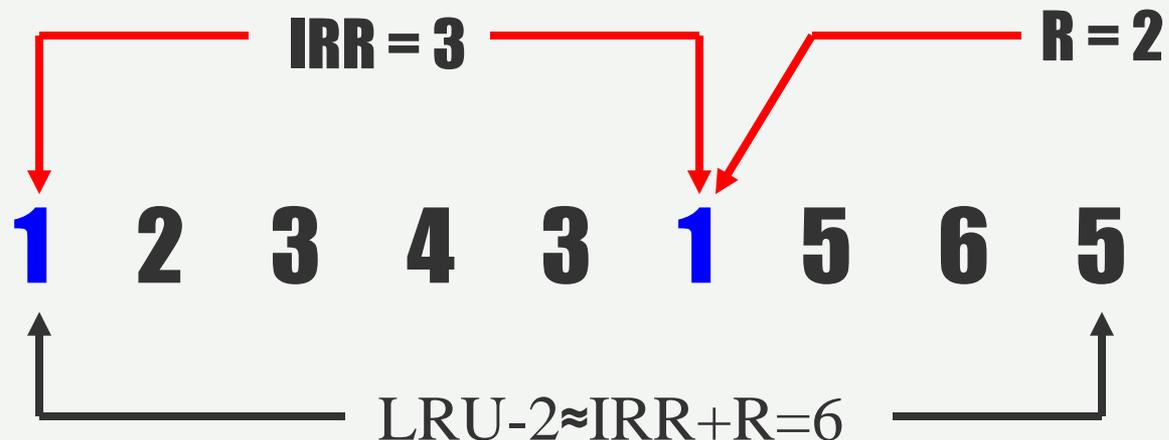
Related Work

- **Aided by user-level hints**
 - Application-hinted caching and prefetching [OSDI, SOSP, ...]
 - rely on users` understanding of data access patterns.
- **Detection and adaptation of access regularities**
 - SEQ, EELRU, DEAR, AFC, UBM [OSDI, SIGMETRICS ...]
 - case-by-case oriented approaches
- **Tracing and utilizing deeper history information**
 - LRFU, LRU-k, 2Q, ARC (VLDB, SIGMETRICS, SIGMOD, FAST ...)
 - Implementation, runtime overhead, and suboptimal performance

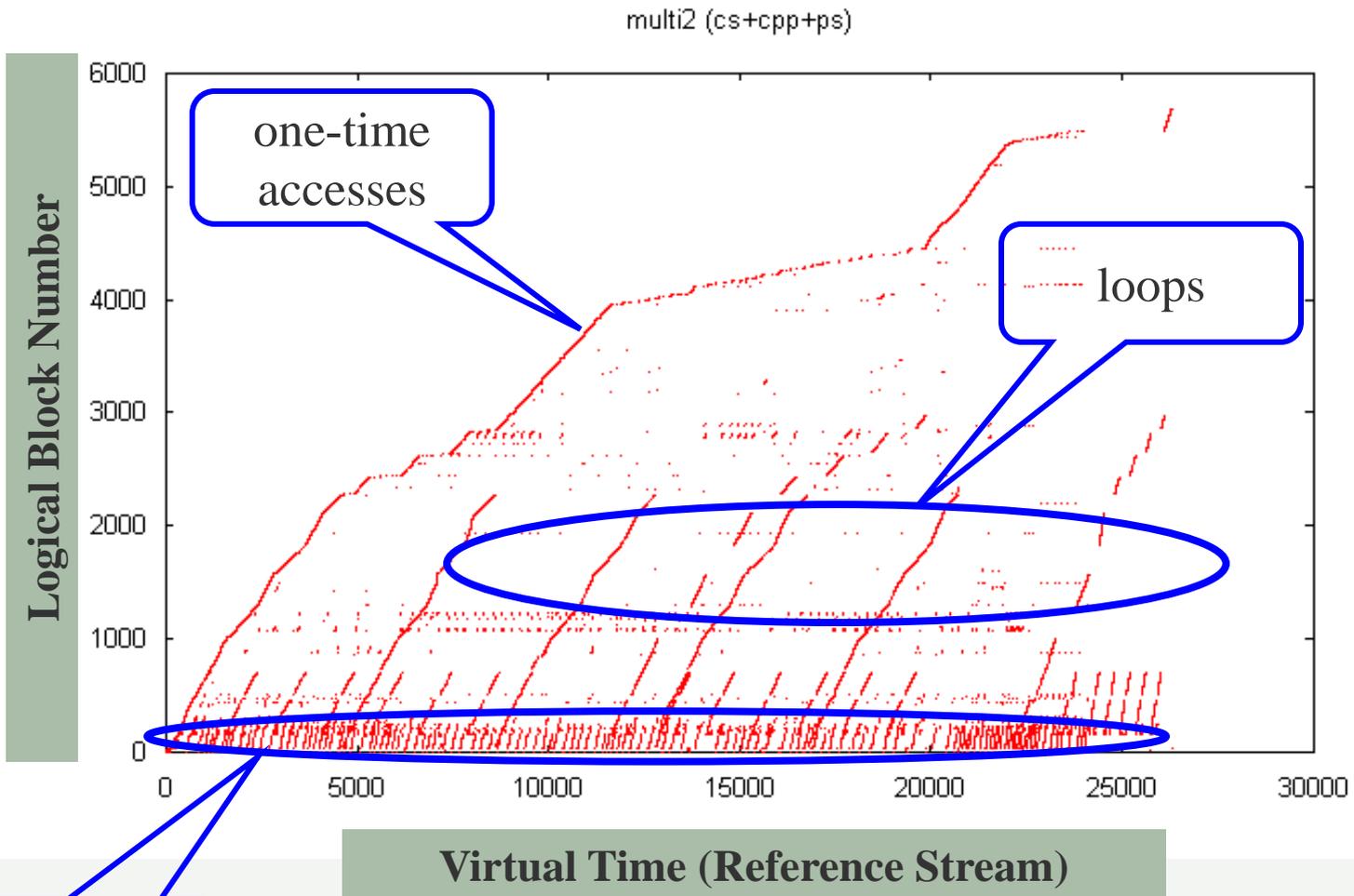
Inter-Reference Recency (IRR)

IRR (= ``reuse distance'', 1970) of a block: the number of other unique blocks accessed between two consecutive references to the block.

Recency: the number of other unique blocks accessed from last reference to the current time.

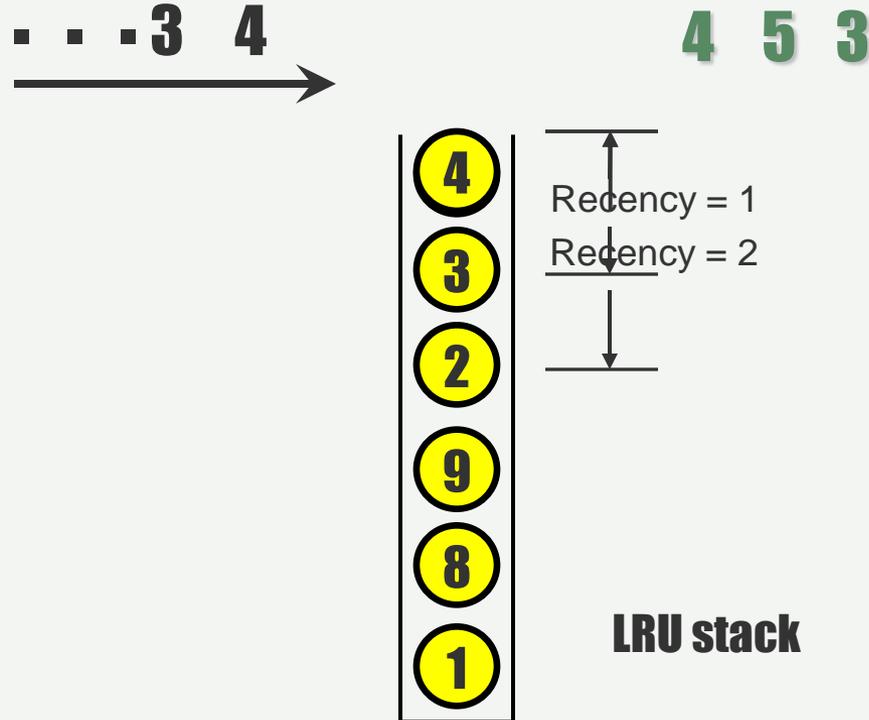


Diverse Locality Patterns on Access Map



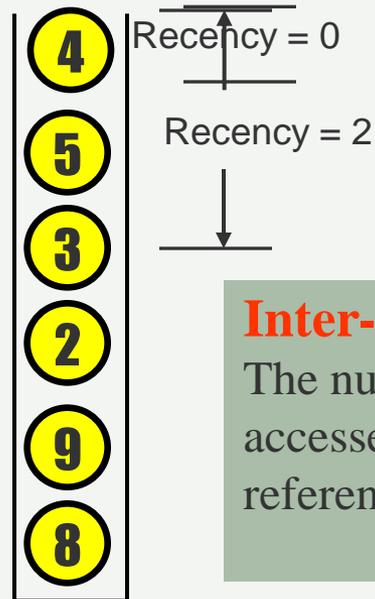
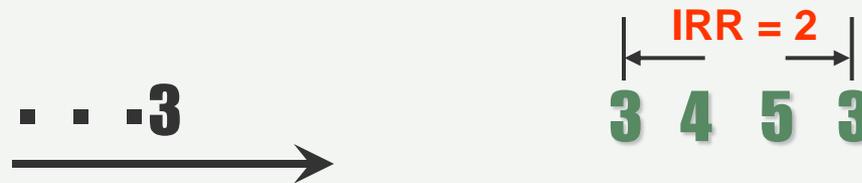
Locality Quantification Limit in LRU Stack

- Blocks are ordered by recency;
- Blocks enter from the stack top, and leave from its bottom;



LRU Stack

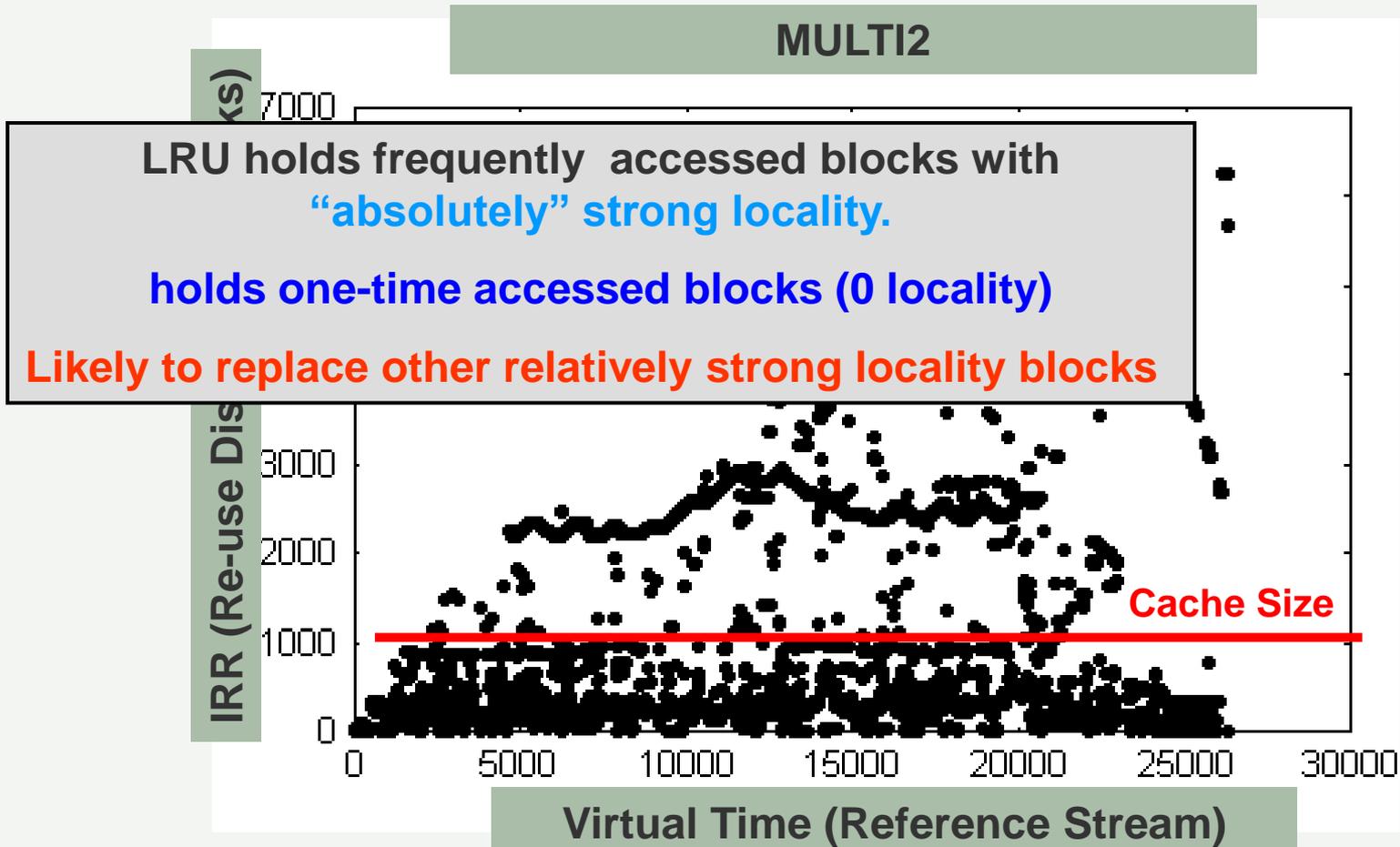
- Blocks are ordered by recency in the LRU stack;
- Blocks enter from the stack top, and leave from its bottom;



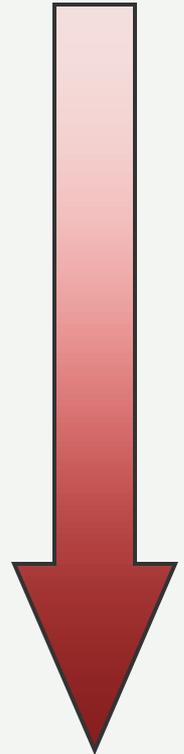
Inter-Reference Recency (IRR)

The number of other unique blocks accessed between two consecutive references to the block.

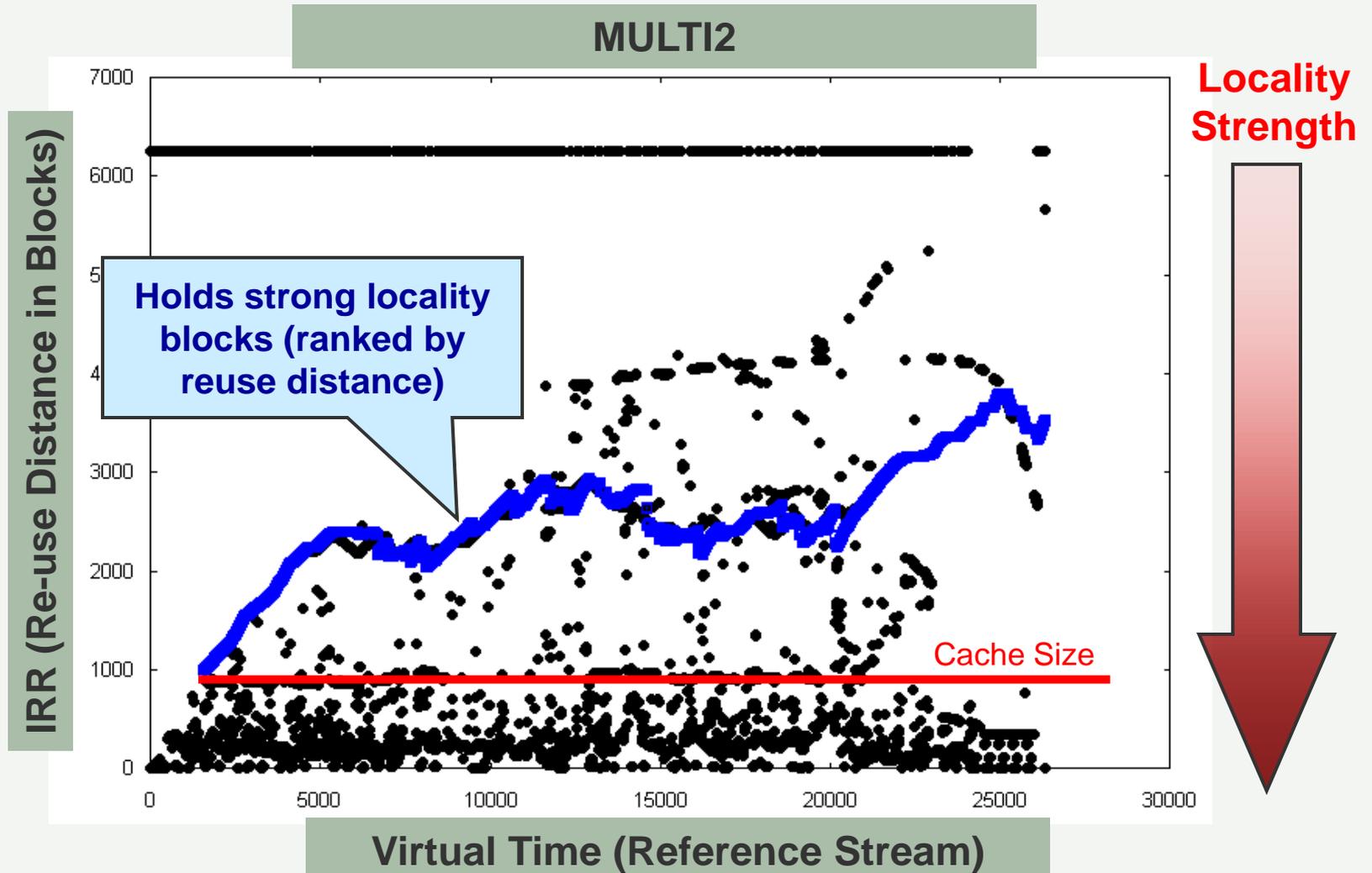
Locality Strength



Locality Strength



Looking for Blocks with Strong Locality

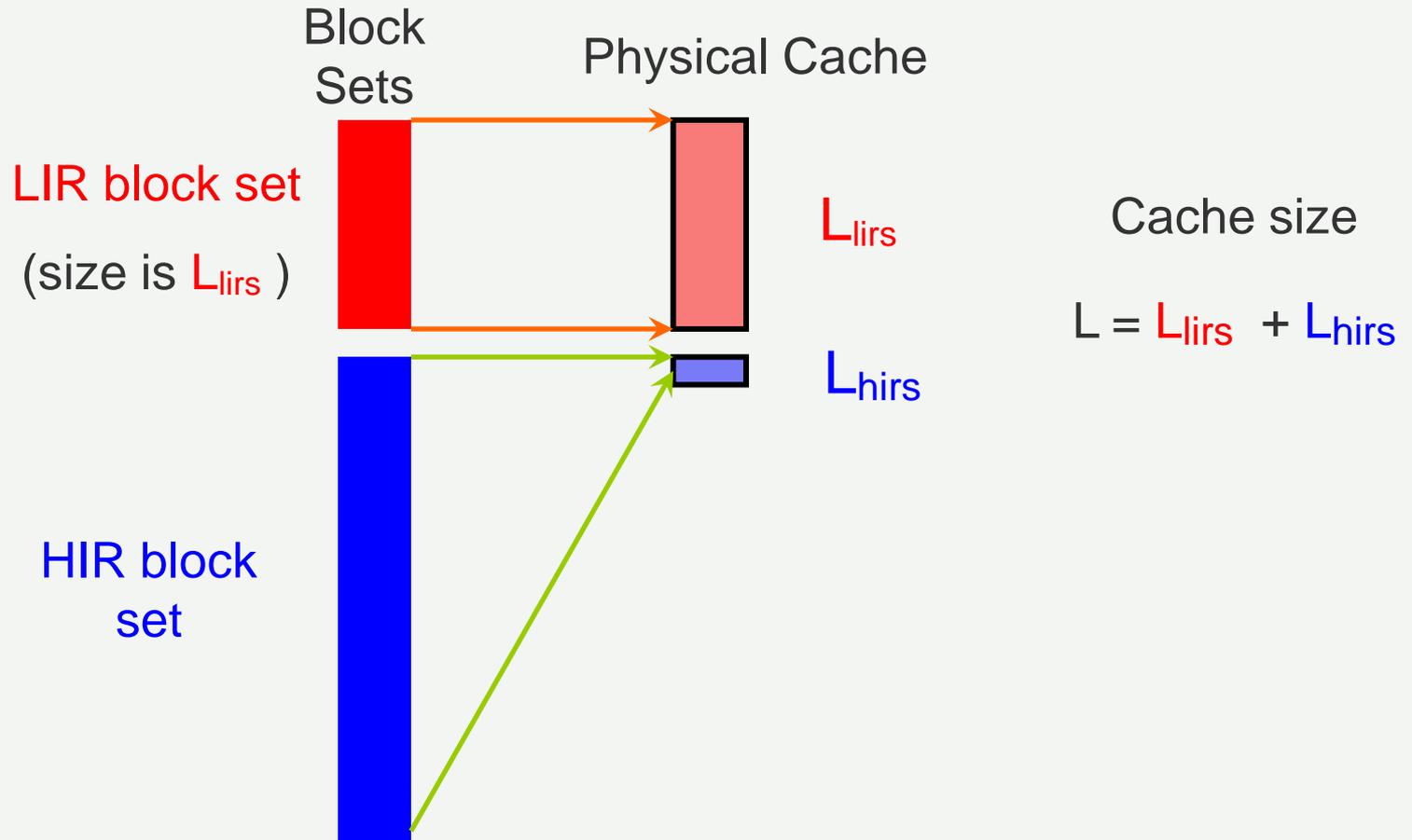


Basic Ideas of LIRS

- A high reuse distance (**IRR**) block is not used often.
 - High IRR blocks are selected for replacement.
- **Recency** is used as a second reference.
- **LIRS: Low Inter-reference Recency Set** algorithm
 - Keep Low reuse distance (IRR) blocks in buffer cache.
- Foundations of LIRS:
 - effectively use **multiple sources** of access information.
 - **Responsively** determine and change the status of each block.
 - **Low cost** implementations.

Data Structure: Keep LIR Blocks in Cache

Low IRR (LIR) blocks and High IRR (HIR) blocks



Replacement Operations of LIRS

$$L_{lirs}=2, L_{hirs}=1$$

	V time / Blocks	1	2	3	4	5	6	7	8	9	10	R	IRR
LIR →	A	X					X		X			1	1
LIR →	B			X		X						3	1
	C				X							4	inf
	D		X					X				2	3
HIR →	E									X		0	inf

LIR block set = {A, B}, HIR block set = {C, D, E}

E becomes a resident HIR determined by its low recency

Which Block is replaced ? Replace an HIR Block

D is referenced at time 10

V time / Blocks	1	2	3	4	5	6	7	8	9	10	R	IRR
A	X					X		X			1	1
B			X		X						3	1
C				X							4	inf
D		X					X			X	0	3
E									X		1	Inf

replaced →

The resident HIR block **E** is replaced !

How is LIR Set Updated? LIR Block Recency is Used

V time / Blocks	1	2	3	4	5	6	7	8	9	10	R	IRR
A	X					X	...	X	—		2	1
B			X	...	X	—					3	1
C				X							4	inf
D		X					X	—		X	0	2
									X		1	inf

Which set, **HIR** or **LIR** should **D** belong to?

Compare its IRR with recency of LIR.

Recency reflects the most updated status.

After **D** is Referenced at Time 10

V time / Blocks	1	2	3	4	5	6	7	8	9	10	R	IRR
LIR → A	X					X X				_____	2	1
HIR → B			X X			_____	_____	_____	_____	_____	3	1
C				X							4	inf
LIR → D		X					X _____			X	0	2
E									X		1	Inf

D enters **LIR** set, and **B** is demoted to **HIR** set

Because **D**'s $IRR < R_{max}$ in LIR set

The Power of LIRS Replacement

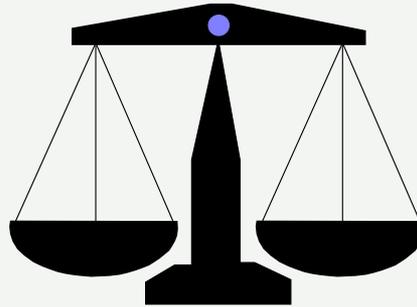
Capability to cope with weak access locality

- **File scanning**: one-time access blocks will be replaced timely; (due to their high IRRs)
- **Loop-like accesses**: blocks to be accessed soonest will NOT be replaced; (due to an MRU effect of HIR blocks)
- **Accesses with distinct frequencies**: Frequently accessed blocks in short reuse distance will NOT be replaced. (dynamic status changes)

LIRS Efficiency: $O(1)$

IRR _{*HIR*}

(New IRR of a
HIR block)



Rmax

(Maximum Recency of LIR
blocks)

Can $O(LIRS) = O(LRU) = O(1)$?

YES! this efficiency is achieved by our **LIRS stack**.

- Both **recencies** and useful **IRRs** are automatically recorded.
- ***Rmax*** of the block in the stack bottom is larger than IRRs of others.
- No comparison operations are needed.

LIRS Operations

- Initialization: All the referenced blocks are given an LIR status until LIR block set is full.

We place resident HIR blocks in a small LRU Stack.

- Upon accessing an LIR block (a hit)
- Upon accessing a resident HIR block (a hit)
- Upon accessing a non-resident HIR block (a miss)



LIRS stack

- resident in cache
- LIR block
- HIR block

Cache size

$$L = 5$$

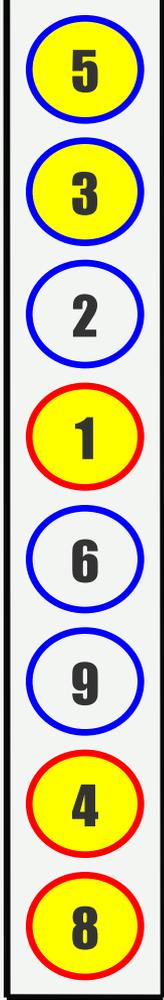
$$L_{lir} = 3$$

$$L_{hir} = 2$$



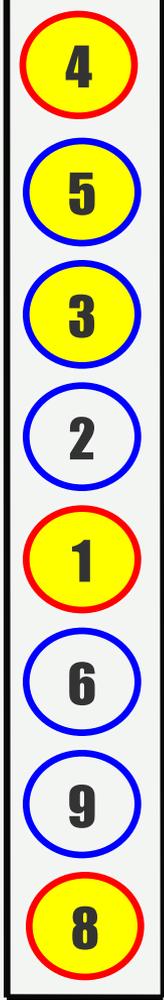
LRU Stack for HIRs

Access an LIR Block (a Hit)



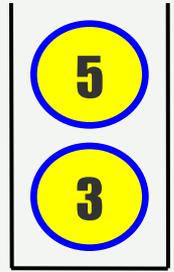
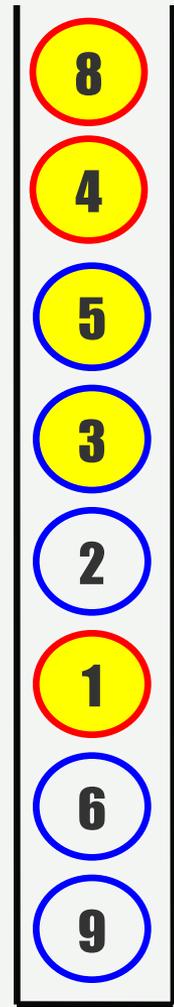
- resident in cache
 - LIR block
 - HIR block
- Cache size
 $L = 5$
 $L_{lir} = 3$
 $L_{hir} = 2$

Access an LIR Block (a Hit)



- resident in cache
 - LIR block
 - HIR block
- Cache size
 $L = 5$
 $L_{lir} = 3$
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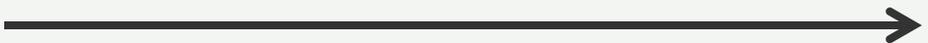
Access an LIR block (a Hit)



- resident in cache
 - LIR block
 - HIR block
- Cache size
 $L = 5$
 $L_{lir} = 3$
 $L_{hir} = 2$

Access a Resident HIR Block (a Hit)

... 5 9 7 5 3



-  resident in cache
-  LIR block
-  HIR block

Cache size

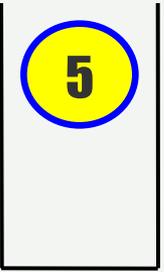
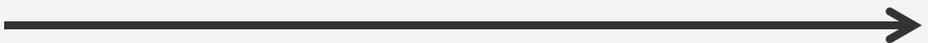
$$L = 5$$

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Access a Resident HIR Block (a Hit)

... 5 9 7 5 3



- resident in cache
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- HIR block

Cache size

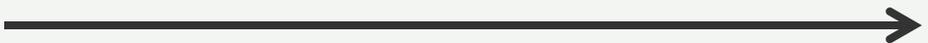
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Access a Resident HIR Block (a Hit)

... 5 9 7 5 3



- resident in cache
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Cache size

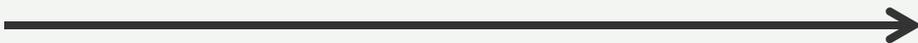
$$L = 5$$

$$L_{lir} = 3$$

$$L_{hir} = 2$$

Access a Resident HIR Block (a Hit)

... 5 9 7 5



-  resident in cache
-  LIR block
-  HIR block

Cache size

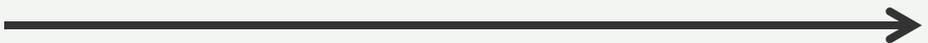
$$L = 5$$

$$L_{lir} = 3$$

$$L_{hir} = 2$$

Access a Non-Resident HIR block (a Miss)

... 5 9 7



- resident in cache
- LIR block
- HIR block

Cache size

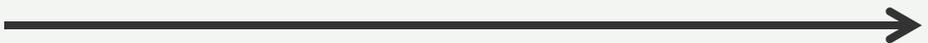
$$L = 5$$

$$L_{lir} = 3$$

$$L_{hir} = 2$$

Access a Non-Resident HIR block (a Miss)

... 5 9



- resident in cache
- LIR block
- HIR block

Cache size

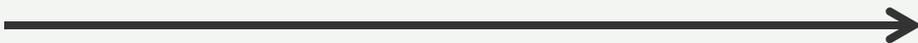
$$L = 5$$

$$L_{lir} = 3$$

$$L_{hir} = 2$$

Access a Non-Resident HIR block (a Miss)

... 5



-  resident in cache
-  LIR block
-  HIR block

Cache size

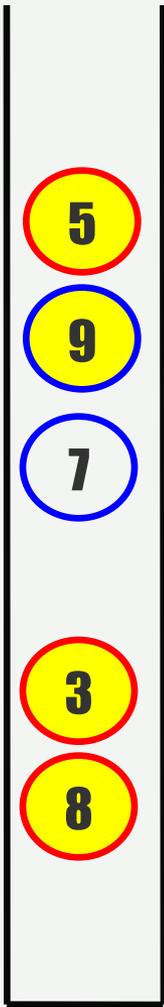
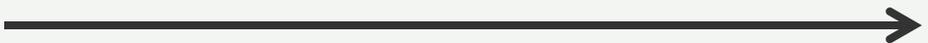
$$L = 5$$

$$L_{lir} = 3$$

$$L_{hir} = 2$$

Access a Non-Resident HIR block (a Miss)

...



- resident in cache
- LIR block
- HIR block

Cache size

$$L = 5$$

$$L_{lir} = 3$$

$$L_{hir} = 2$$

LIRS Stack Simplifies Replacement

- **Recency** is ordered in stack with *Rmax* LIR block in bottom
- **No need** to keep track of each HIR block`s **IRR** because
 - A newly accessed HIR block`s IRRs in stack = **recency** < **Rmax**.
- A small LRU stack is used to store resident **HIR** blocks.
- Additional operations of **pruning** and **demoting** are constant.
- Although LIRS operations are much more dynamic than LRU, its **complexity** is identical to LRU.

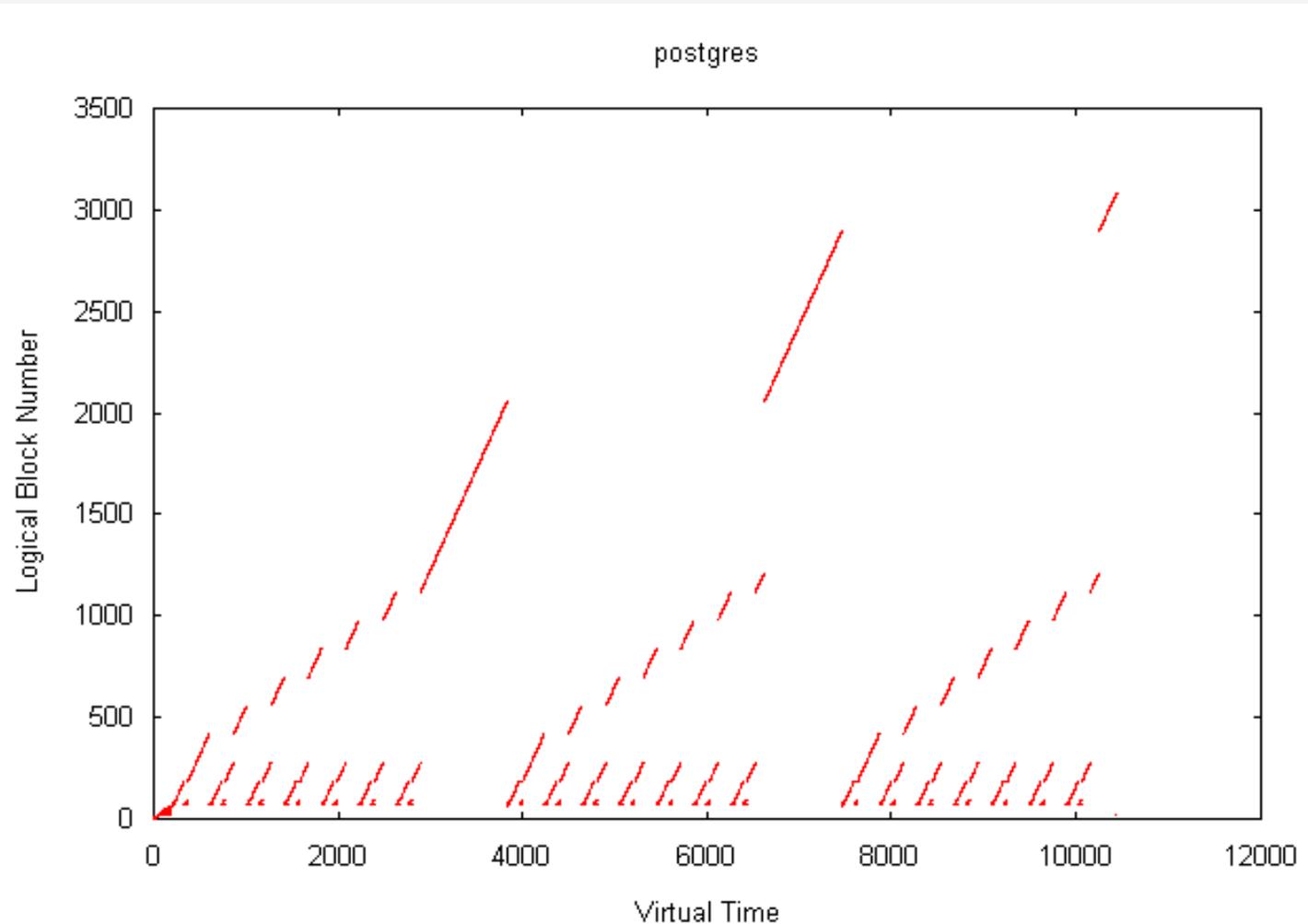
Performance Evaluation

- Trace-driven simulation on different patterns shows
 - LIRS **outperforms** existing replacement algorithms in almost all the cases.
 - The performance of LIRS is **not sensitive** to its only parameter **L_{hirs}** .
 - Performance is not affected even when **LIRS stack size** is **bounded**.
 - The time/space overhead is **as low as LRU**.
 - LRU can be regarded as **a special case** of LIRS.

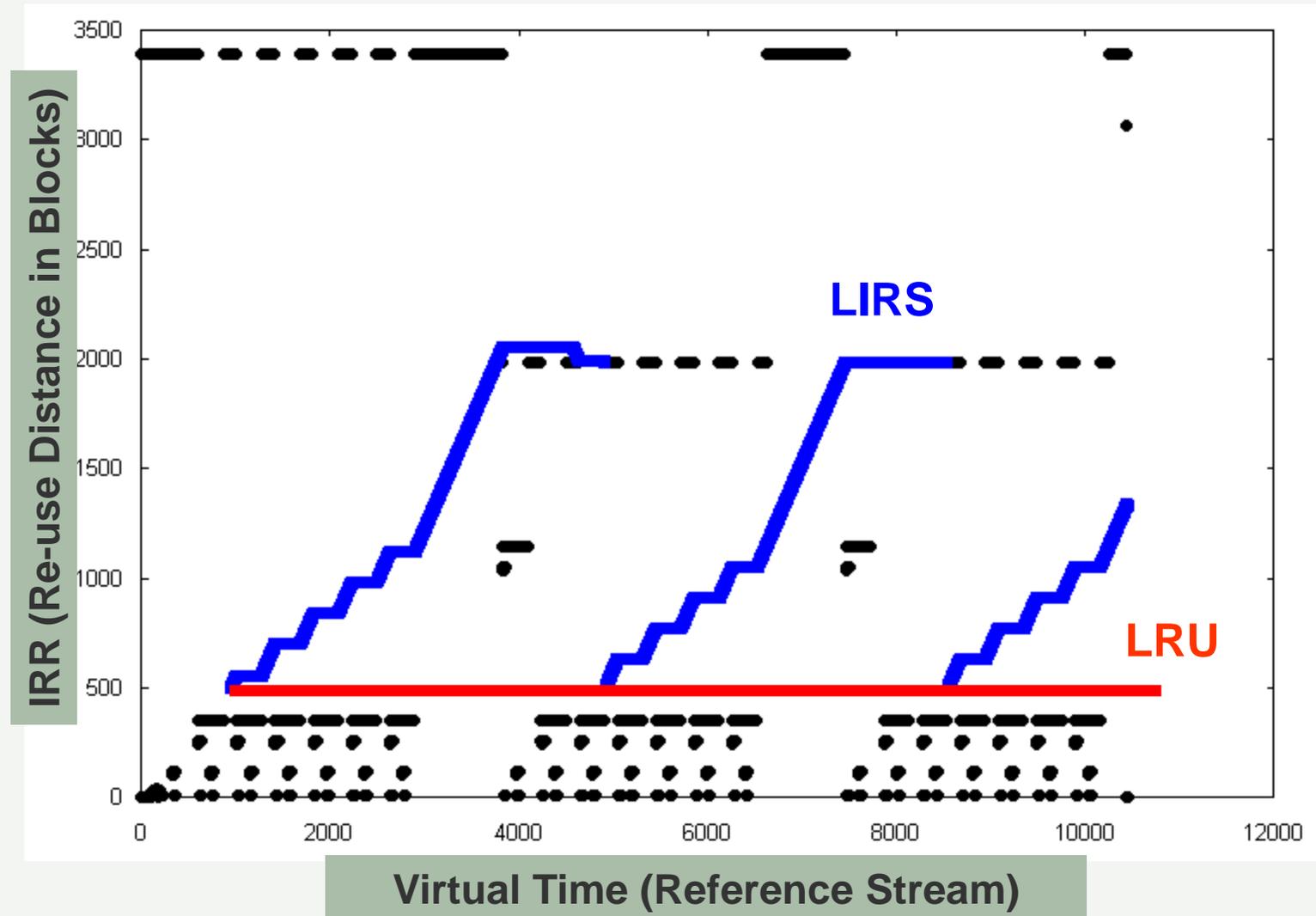
Selected Workload Traces

- **2-pools** is a synthetic trace to simulate the distinct frequency case.
 - **cpp** is a GNU C compiler pre-processor trace
 - **cs** is an interactive C source program examination tool trace.
 - **glimpse** is a text information retrieval utility trace.
 - **link** is a UNIX link-editor trace.
 - **postgres** is a trace of join queries among four relations in a relational database system
 - **sprite** is from the Sprite network file system
 - **mult1**: by executing 2 workloads, cs and cpp, together.
 - **multi2**: by executing 3 workloads, cs, cpp, and postgres, together.
 - **multi3**: by executing 4 workloads, cpp, gnuplot, glimpse, and postgres, together
- (1) **various patterns**, (2) **non-regular accesses** , (3) **large traces**.

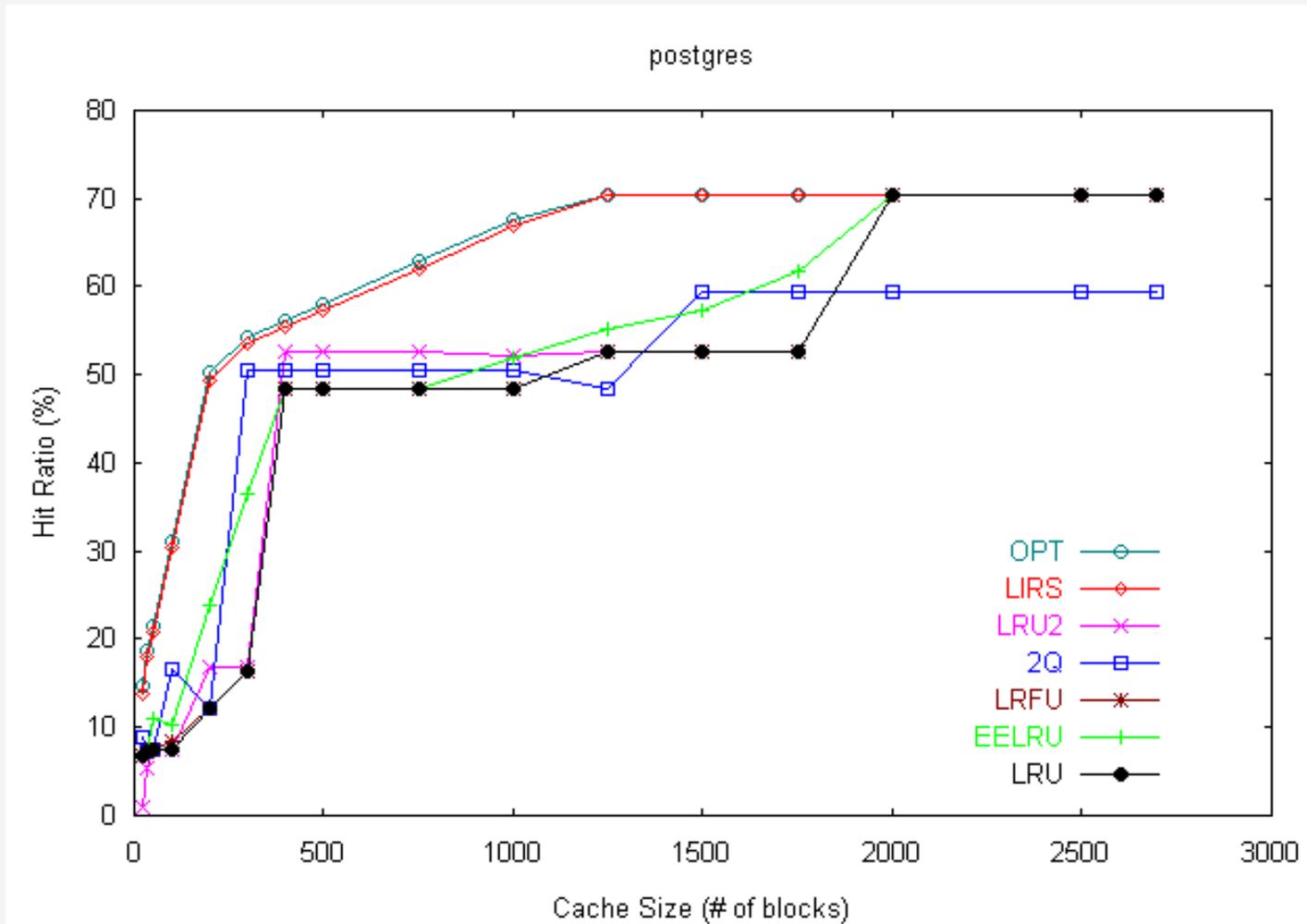
Looping Pattern: postgres (Time-space map)



Looping Pattern: postgres (IRR Map)



Looping Pattern: postgres (Hit Rates)



Impact of LIRS

- LIRS is a **benchmark** to compare replacement algorithms
 - **Reuse distance is first used in buffer management**
 - **A paper in SIGMETRICS'05 confirmed that LIRS outperforms all the other replacement.**
 - **LIRS has become a topic to teach in both graduate and undergraduate classes of OS, performance evaluation, and databases at many US universities.**
 - **A high number of citations to the LIRS paper.**
- Linux Memory Management group has established an Internet Forum on **Advanced Replacement**, including LIRS

LIRS has been adopted in MySQL

- MySQL is the most widely used relational database
 - 11 million installations in the world
 - The busiest Internet services use MySQL to maintain their databases for high volume Web sites: **google, YouTube, wikipedia, facebook, ...**
 - LIRS is managing the **buffer pool** of MySQL
 - The adoption is the most recent version (**5.1**), November 2008.
- LIRS is documented as **Jiang-Zhang caching algorithm** in MySQL.



LIRS-MySQL-jiang-zhang.mht



Recommended Servers for MySQL

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mysql/src/5.1-dbg/storage/ndb/src/kernel/blocks/pgman.hpp

Go to the documentation of this file.

```
00001 /* Copyright (C) 2003 MySQL AB
00002
00003 This program is free software; you can redistribute it and/or modify
00004 it under the terms of the GNU General Public License as published by
00005 the Free Software Foundation; either version 2 of the License, or
00006 (at your option) any later version.
00007
00008 This program is distributed in the hope that it will be useful,
00009 but WITHOUT ANY WARRANTY; without even the implied warranty of
00010 MERCHANTABILITY or FITNESS FOR A PARTICULAR PURPOSE. See the
00011 GNU General Public License for more details.
00012
00013 You should have received a copy of the GNU General Public License
```

```
00058 * A local check point (LCP) periodically performs a complete pageout of
00059 * dirty pages. It must iterate over a list which will cover all pages
00060 * which had been dirty since LCP start.
00061 *
00062 * A clean page is a candidate ("victim") for being "unmapped" and
00063 * "evicted" from the cache, to allow another page to become resident.
00064 * This process is called "page replacement".
00065 *
00066 * PAGE REPLACEMENT
00067 *
00068 * Page replacement uses the LIRS algorithm (Jiang-Zhang).
00069 *
00070 * The "recency" of a page is the time between now and the last request
00071 * for the page. The "inter-reference recency" (IRR) of a page is the
00072 * time between the last 2 requests for the page. "Time" is advanced by
00073 * request for any page.
00074 *
00075 * Page entries are divided into "hot" ("lir") and "cold" ("hir"). Here
00076 * lir/hir refers to low/high IRR. Hot pages are always resident but
00077 * cold pages need not be.
00078 *
00079 * Number of hot pages is limited to slightly less than number of cache
00080 * pages. Until this number is reached, all used cache pages are hot.
00081 * Then the algorithm described next is applied. The algorithm avoids
00082 * storing any of the actual recency values.
```

LIRS is adopted in Java Library

- LIRS has been adopted in Infinispan, a Java-based data grid
- LIRS is being adopted in Java Class of
 - `ConcurrentLinkedHashMap`
 - as a software cache management facility