

# Hardware Caches with Low Access Times and High Hit Ratios

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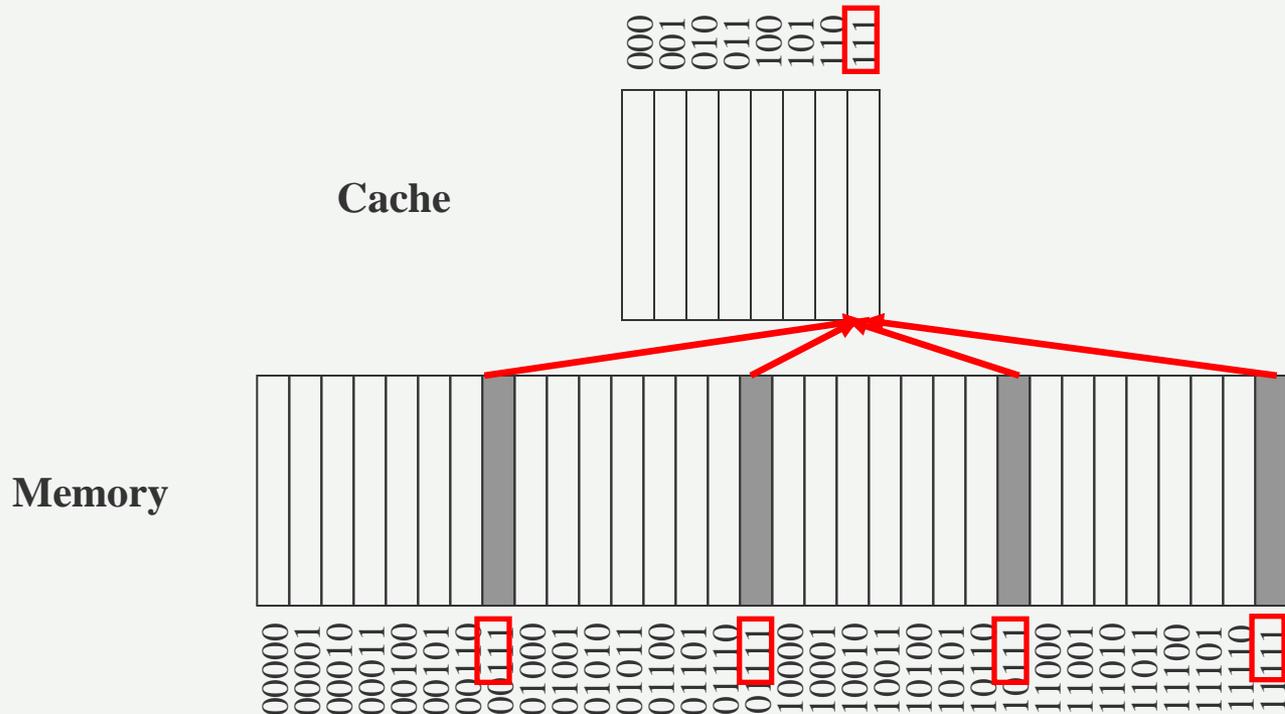
# Basics of Hardware Caches

- A data item is referenced by its **memory address**.
- It is first searched in the **cache**.
- **Three questions cover all the cache operations:**
  - How do we know it is in the cache?
  - If it is (**a hit**), how do we find it?
  - If it is not (**a miss**), how to replace the data if the location is already occupied?

# Direct-Mapped Cache

- The simplest, but efficient and commonly used. (Maurice Wilkes, IEEE TC 1965, a two-page paper)
- Each access is mapped to **exactly one location**.
- The mapping follows:
  - (memory address) **mod** (number of cache blocks)
- A standard block has 4 bytes (a word), but it is increasingly longer for spatial locality.

# An Example of an Direct Mapped-cache



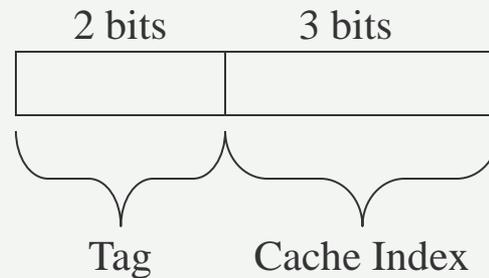
$7_{10}$	$(00111)_2$	Mod	$8_{10}$	$=$	$111_2$
$15_{10}$	$(01111)_2$	Mod	$8_{10}$	$=$	$111_2$
$23_{10}$	$(10111)_2$	Mod	$8_{10}$	$=$	$111_2$
$31_{10}$	$(11111)_2$	Mod	$8_{10}$	$=$	$111_2$

# Nature of Direct-mapped Caches

- If the number of cache blocks is a power of 2, the mapping is exactly the low-order  $\log_2$  (cache size in blocks) bits of the address.
- If cache =  $2^3 = 8$  blocks, the 3 low-order bits are directly mapped addresses.
- The lower-order bits are also called **cache index**.

# Tags in Direct-Mapped Caches

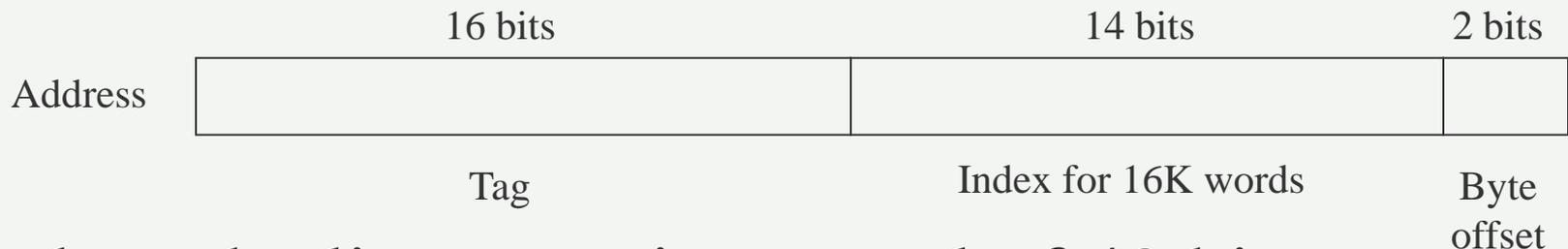
- A cache index for a cache block is not enough because multiple addresses will be mapped to the same block.
- A “tag” is used to make this distinction. The upper portion of the address forms the tag:



- If both the tag and index are matched between memory and cache, a “hit” happens.
- A **valid bit** is also attached for each cache block.

# Allocation of Tag, Index, and Offset Bits

- Cache size: 64 Kbytes.
- Block size: 4 Bytes (2 bits for offset)
- 64 Kbytes = 16 K blocks =  $2^{14}$  (14 bits for index)
- For a 32-bit memory address: 16 bits left for tag.

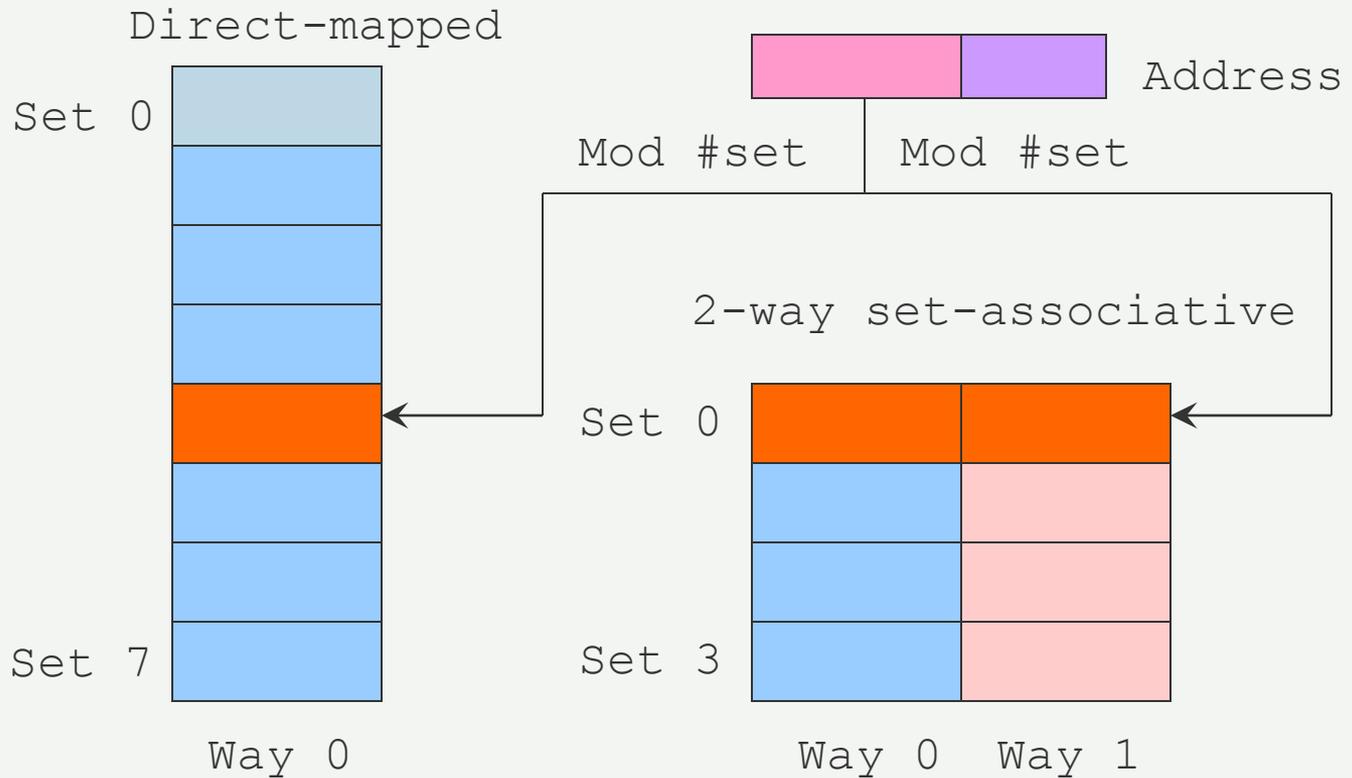


- Each cache line contains a total of 49 bits:
  - 32 bits (data of 4 Bytes)
  - 16 bits (tag)
  - 1 bit for valid bit.

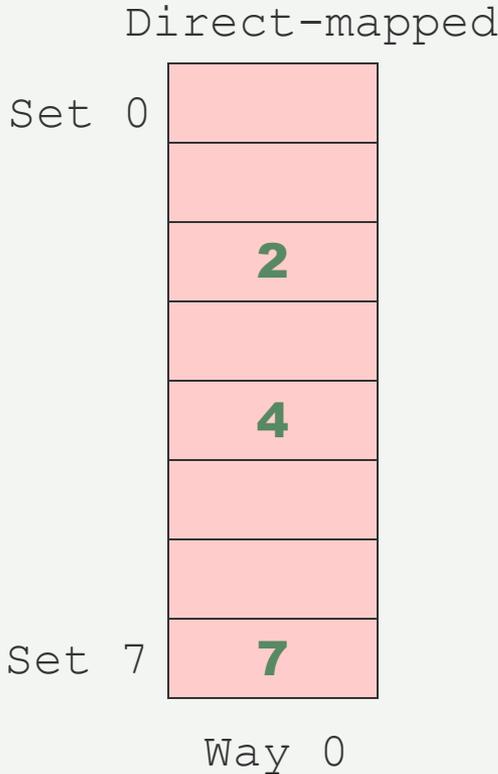
# Set-associative Caches

- Direct-mapping one location causes high miss rate.
- How about increasing the number of possible locations for each mapping?
- The number of locations is called “**associativity**”.  
A **set** contains more than one location.
- **associativity = 1 for direct-mapped cache**
- Set-associative cache mapping follows:
  - (memory address) **mod** (number of sets)
  - Associativity = number of blocks for **fully associative cache**.

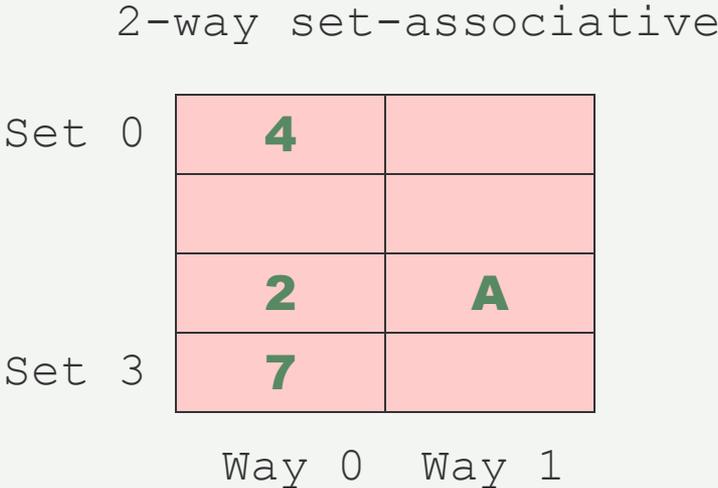
# Direct-mapped vs. Set-associative



# Cache Accesses

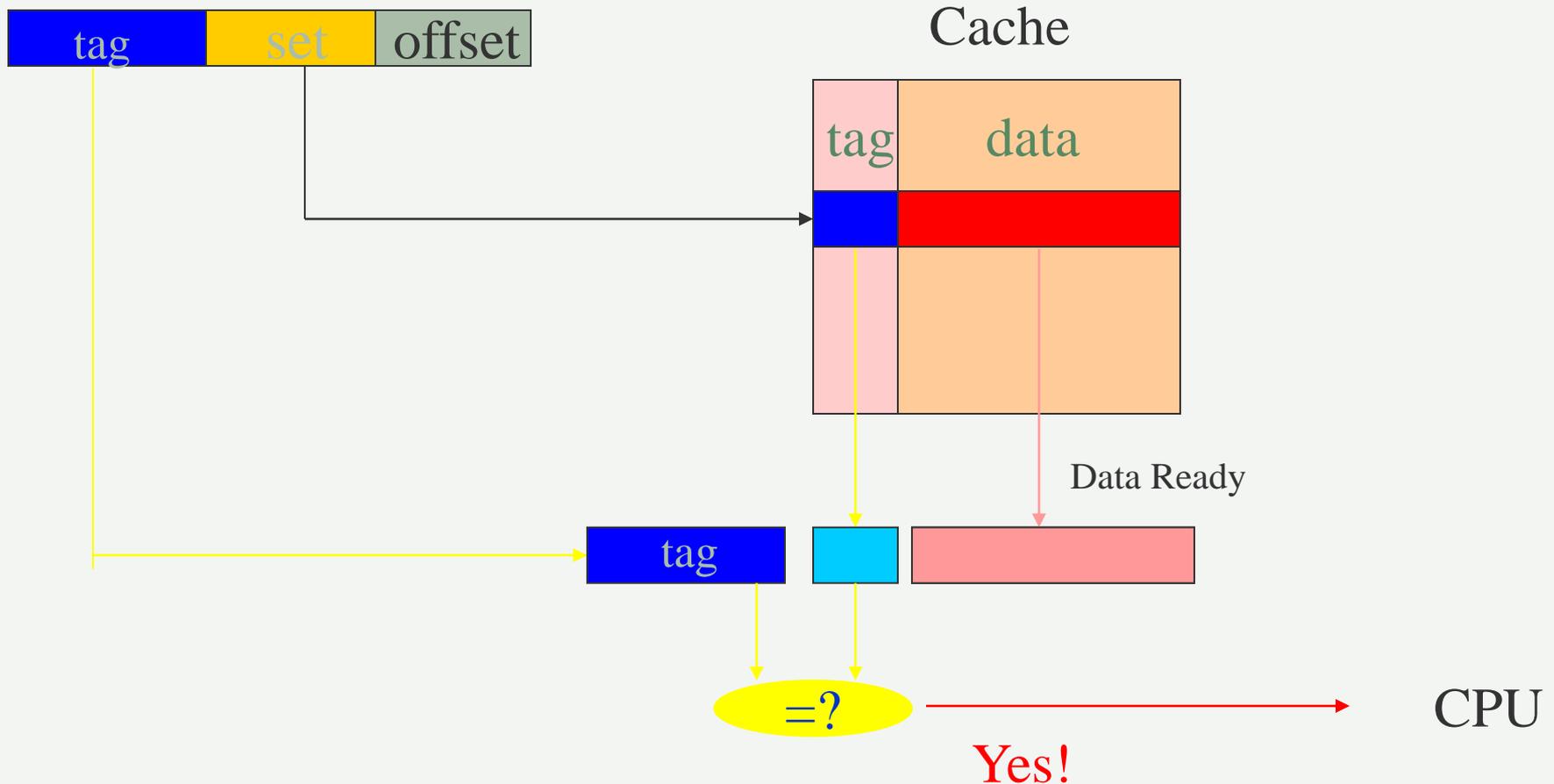


**7 misses**

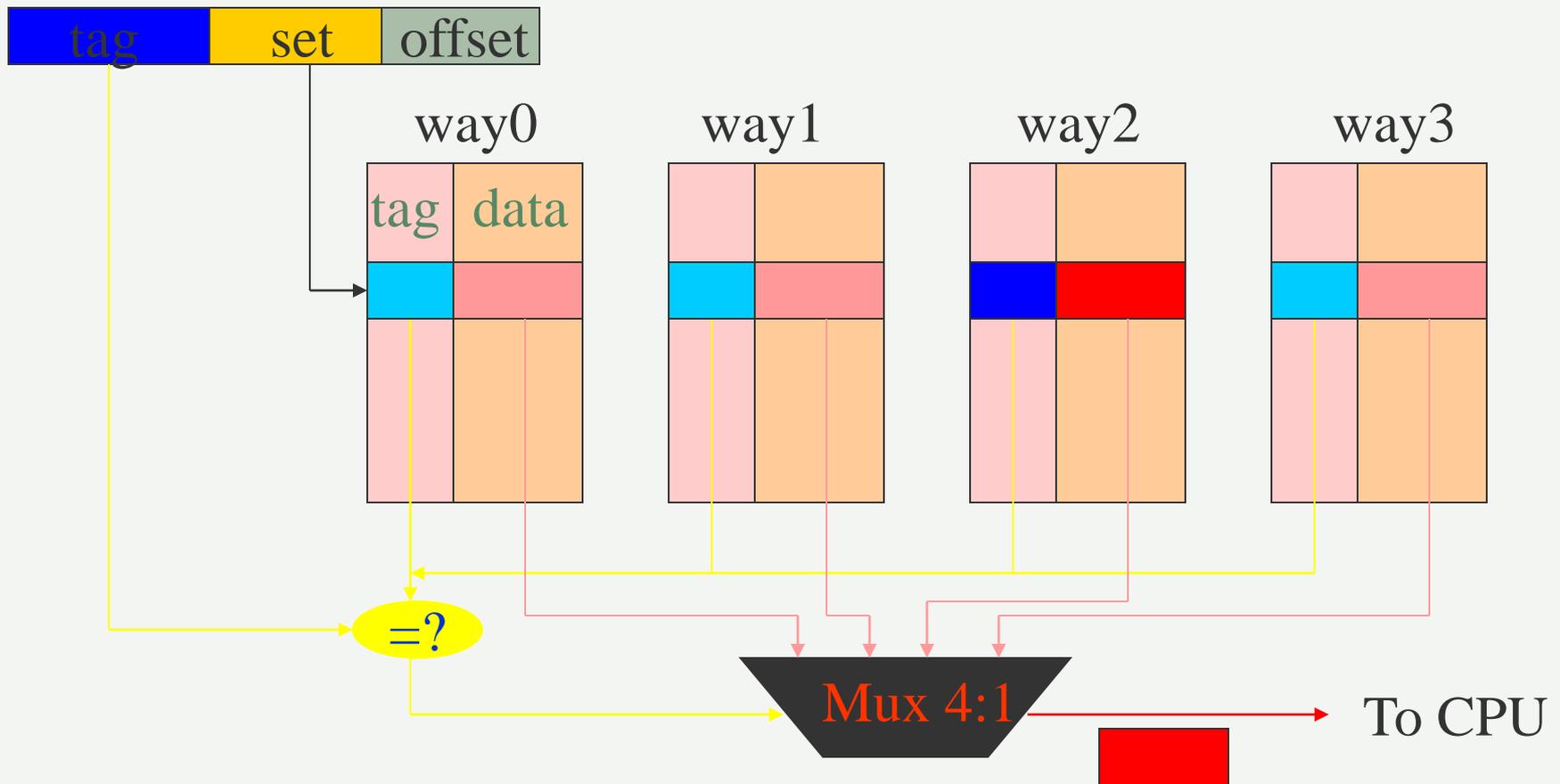


**4 misses**

# Direct-mapped Cache Operations: Minimum Hit Time

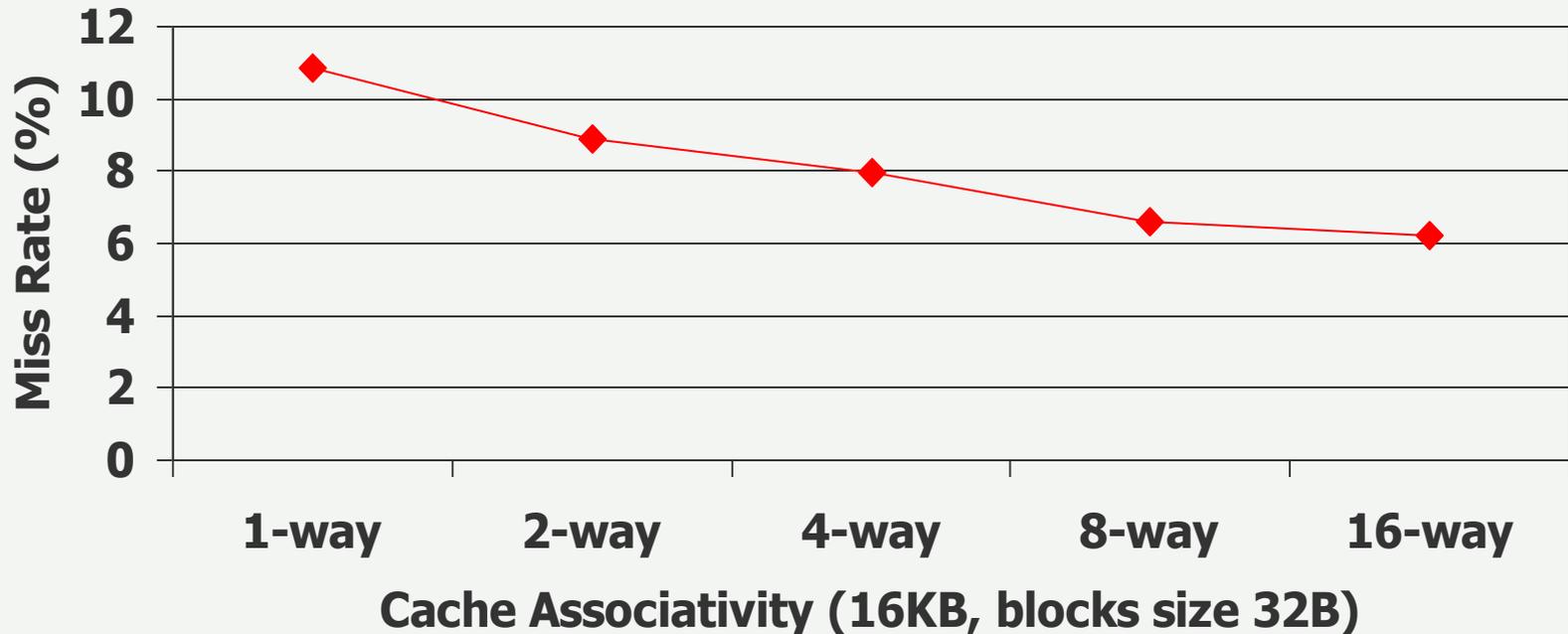


# Set-associative Cache Operations: Delayed Hit Time



# Set-associative Caches Reduce Miss Ratios

## 172.mgrid Data Cache Miss Rate



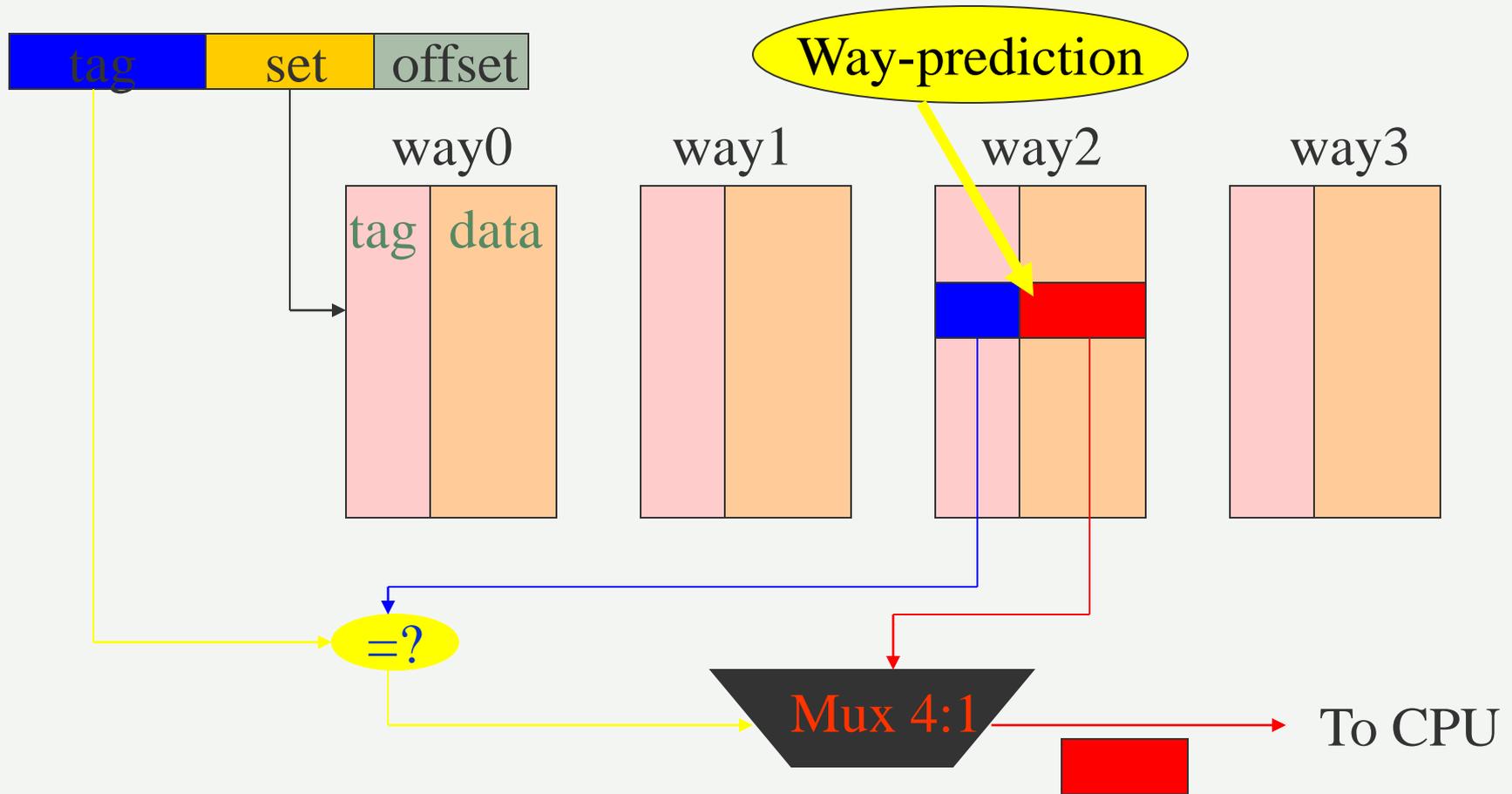
172.mgrid: SPEC 2000, multi-grid solver

# Trade-offs between High Hit Ratios (SA) and Low Access Times (DM)

- Set-associative cache achieves high hit-ratios: 30% higher than that of direct-mapped cache.
- But it suffers high access times due to
  - Multiplexing logic delay during the selection.
  - Tag checking, selection, and data dispatching are sequential.
- Direct-mapped cache loads data and checks tag in parallel: minimizing the access time.
- Can we get both high hit ratios and low access times?
- The Key is the Way Prediction: speculatively determine which way is the hit so that only that way is accessed.

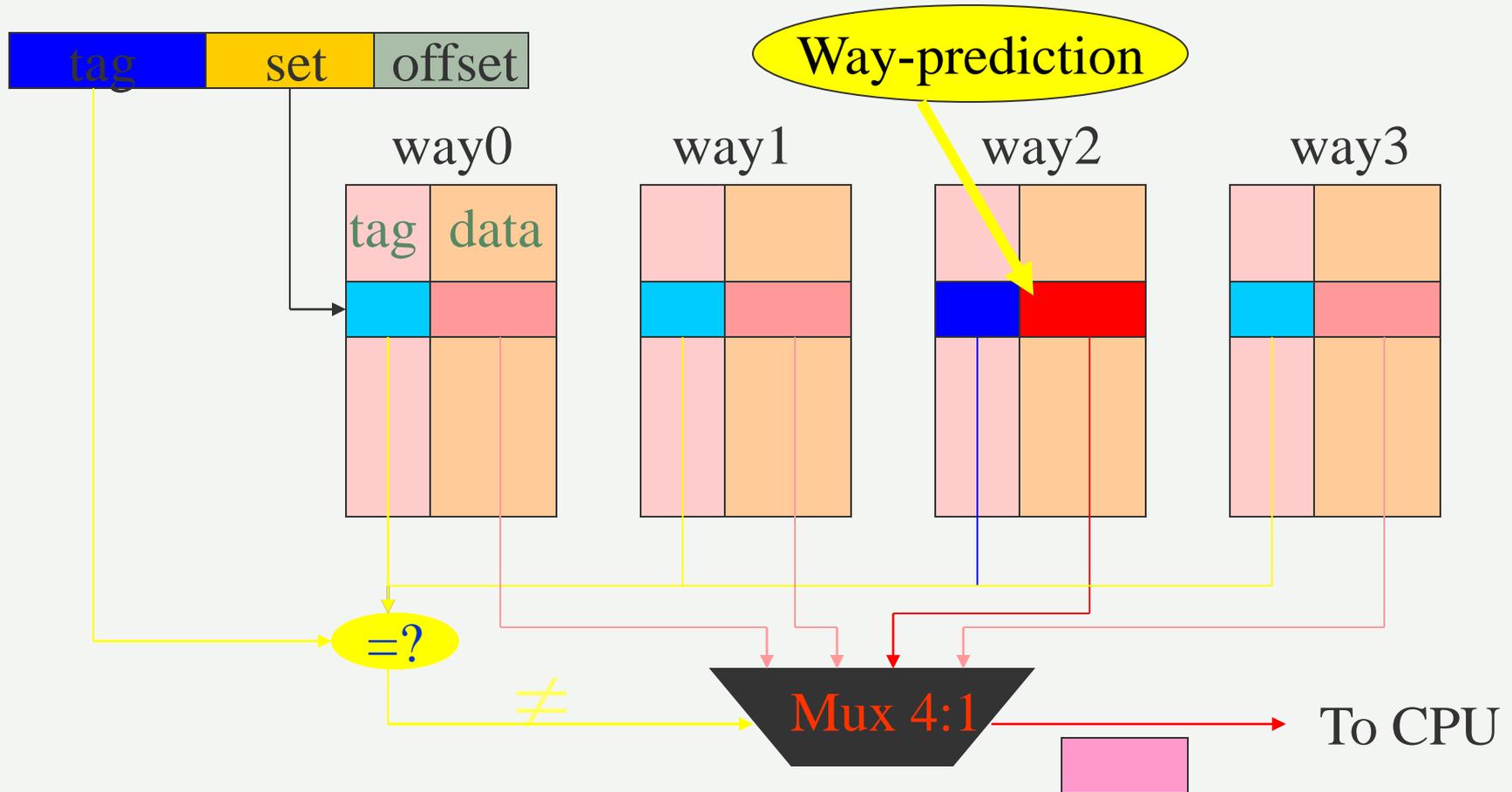
# Best Case of Way Prediction: **First Hit**

Cost: way prediction only



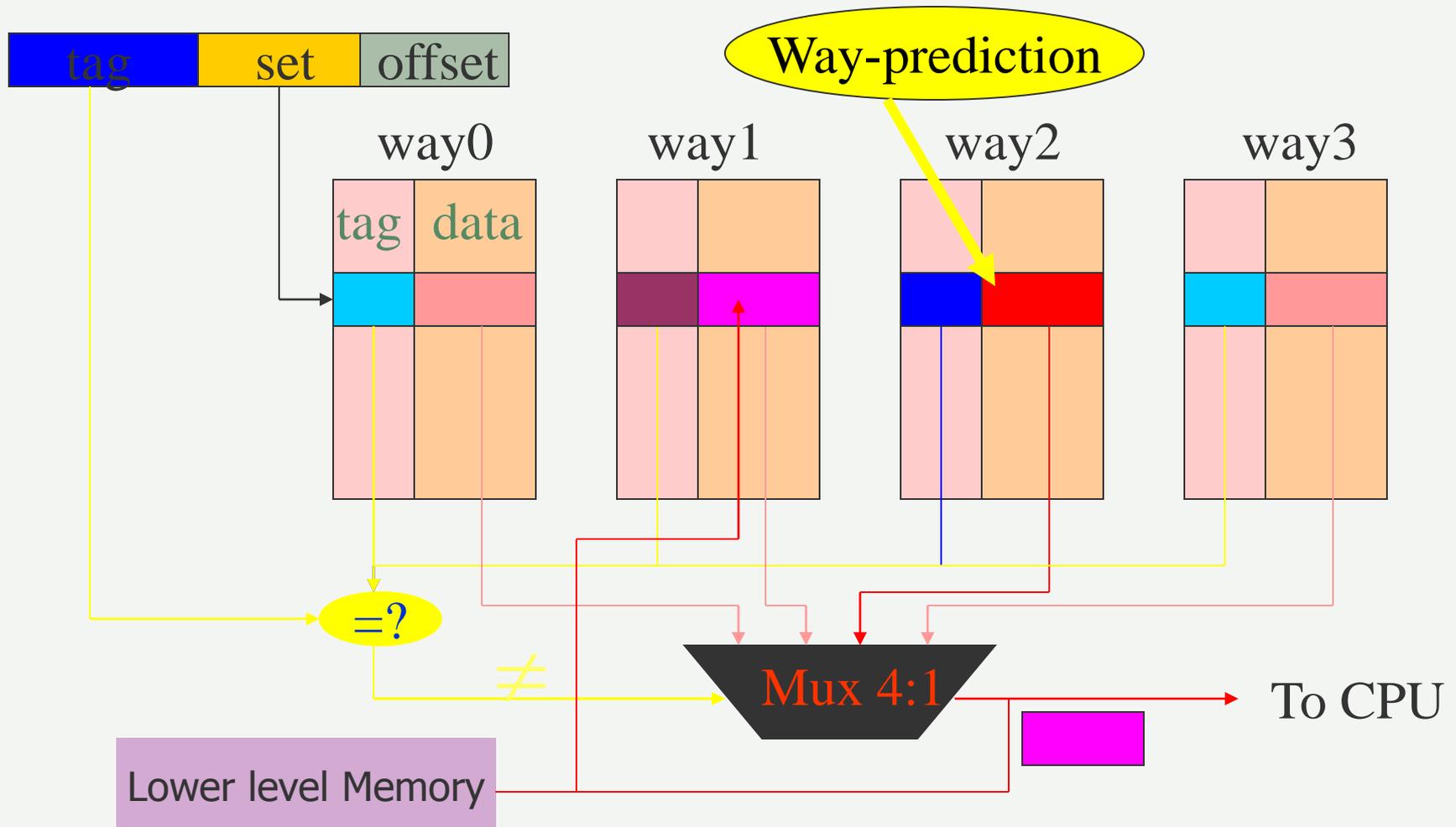
# Way Prediction: Non-first Hit (in Set)

Cost: way prediction + selection in set



# Worst Case of Way-prediction: Miss

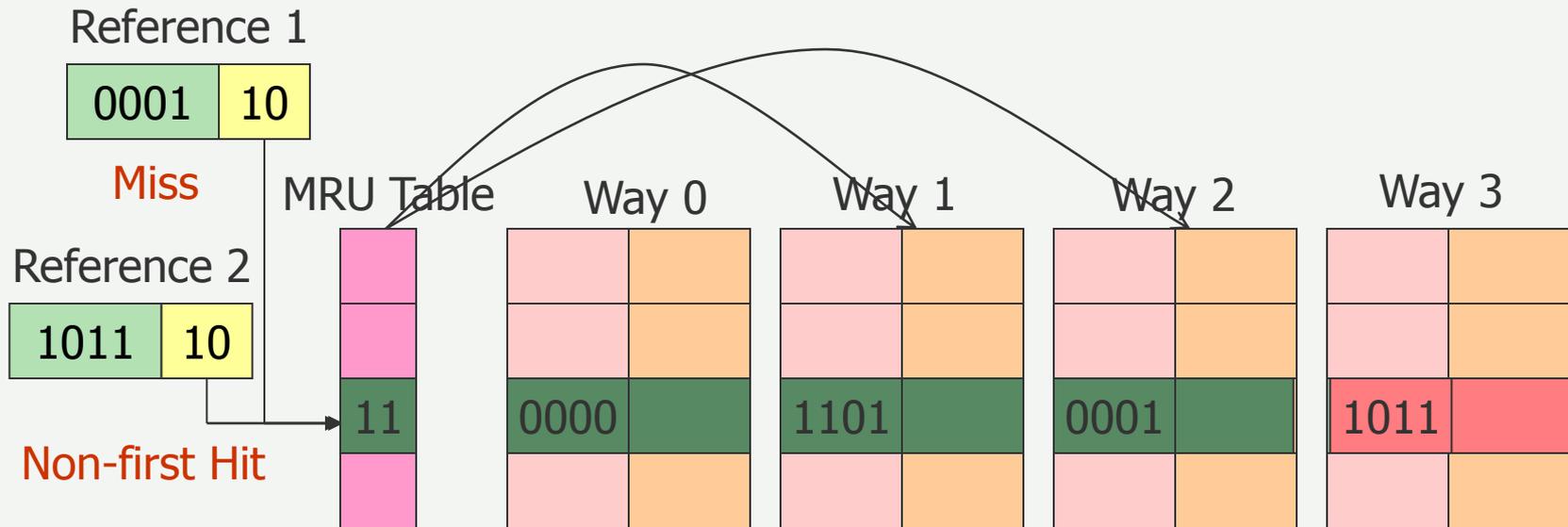
Cost: way prediction + selection in set + miss



# MRU Way Predictions

- Chang, et. al., ISCA'87, (for IBM 370 by IBM)
- Kessler, et. al., ISCA'89. (Wisconsin)
- Mark the Most Recent Use (MRU) block in each set.
- Access this block first. If hits, low access time.
- If the prediction is wrong, search other blocks.
- If the search fails in the set, it is a miss.

# MRU Way Prediction



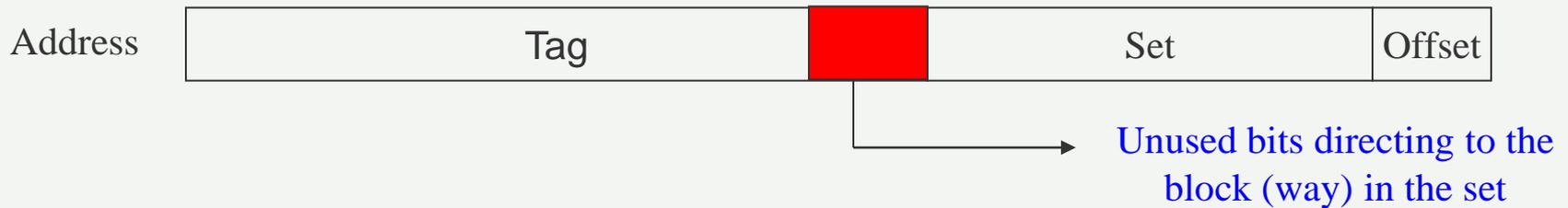
# Limits of MRU Set-Associative Caches

- **First hit** is not equivalent to a **direct-mapped hit**.
  - MRU index is fetched before accessing the block (either cache access cycle is lengthened or additional cycle is needed).
- The MRU location is the **only search entry** in the set.
  - The first hit ratio can be low in cases without many repeated accesses to single data (**long reuse distance**), such as loops.
- MRU cache can reduce access times of set-associative caches by a certain degree but
  - It still has **a big gap** with that of direct-mapped cache.
  - It can be worse than set-associative cache **when first-hits to MRU locations are low**.

# Multi-column Caches: Fast Accesses and High Hit Ratio

- Zhang, et. al., IEEE Micro, 1997. (Tongji, and Ohio State)
- Objectives:
  - Each first hit is equivalent to a direct-mapped access.
  - Maximize the number of first hits.
  - Minimize the latency of non-first-hits.
  - Additional hardware should be simple and low cost.

# Multi-Column Caches: Major Location



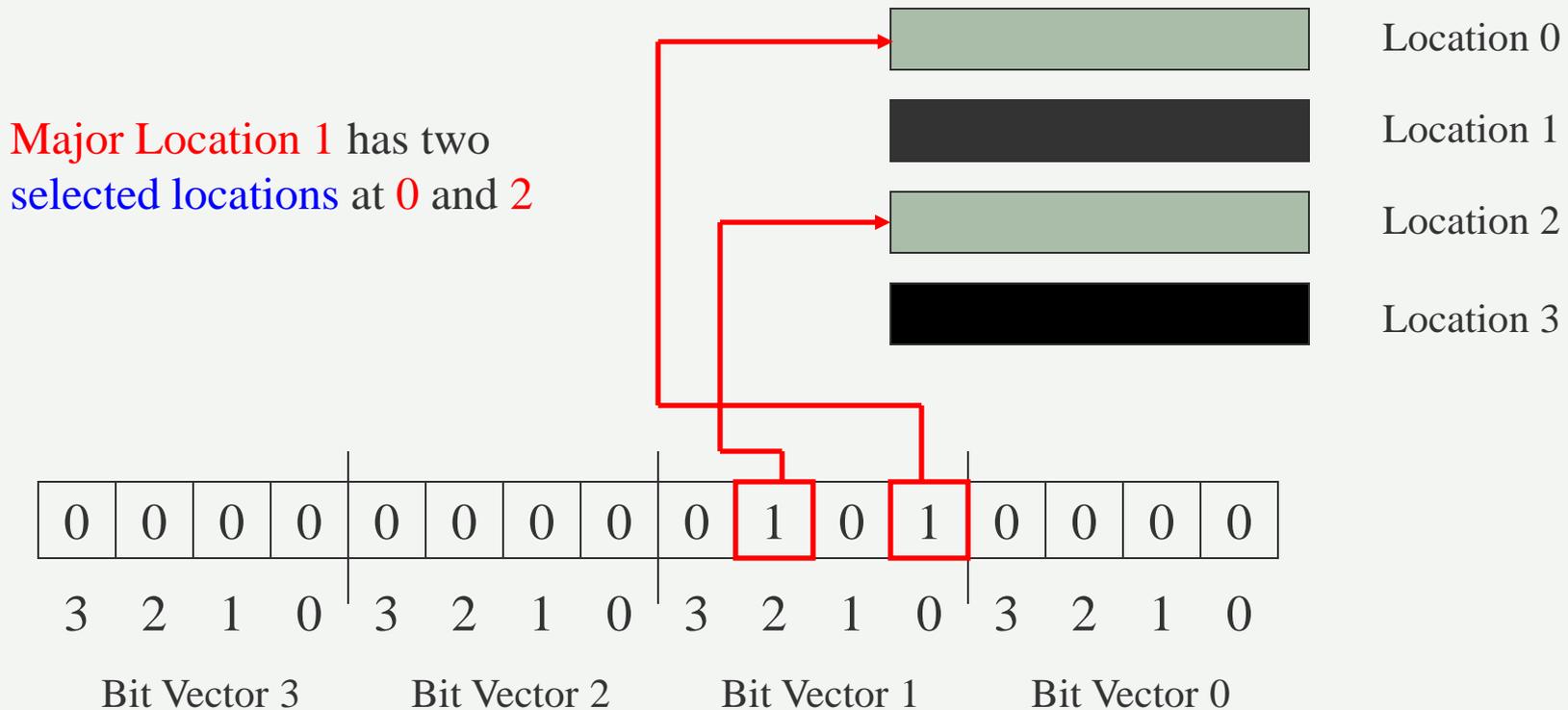
- The unused bits and “set bits” generate a direct-mapped location: **Major Location**.
- A major location mapping = **direct-mapping**.
- Major location only contains a **MRU** block either loaded from memory or just accessed.

## Multi-column Caches: Selected Locations

- Multiple blocks can be direct-mapped to the same major location, but only MRU is the major.
- The non-MRU blocks are stored in other empty locations in the set: **Selected Locations**.
- If “other locations” are used for their **own** major locations, there will be no space for selected ones.
- **Swap:**
  - A block in **selected location** is **swapped** to **major location** as it becomes MRU.
  - A block in major location is **swapped** to a **selected location** after a new block is loaded in it from memory.

# Multi-Column: Indexing Selected Locations

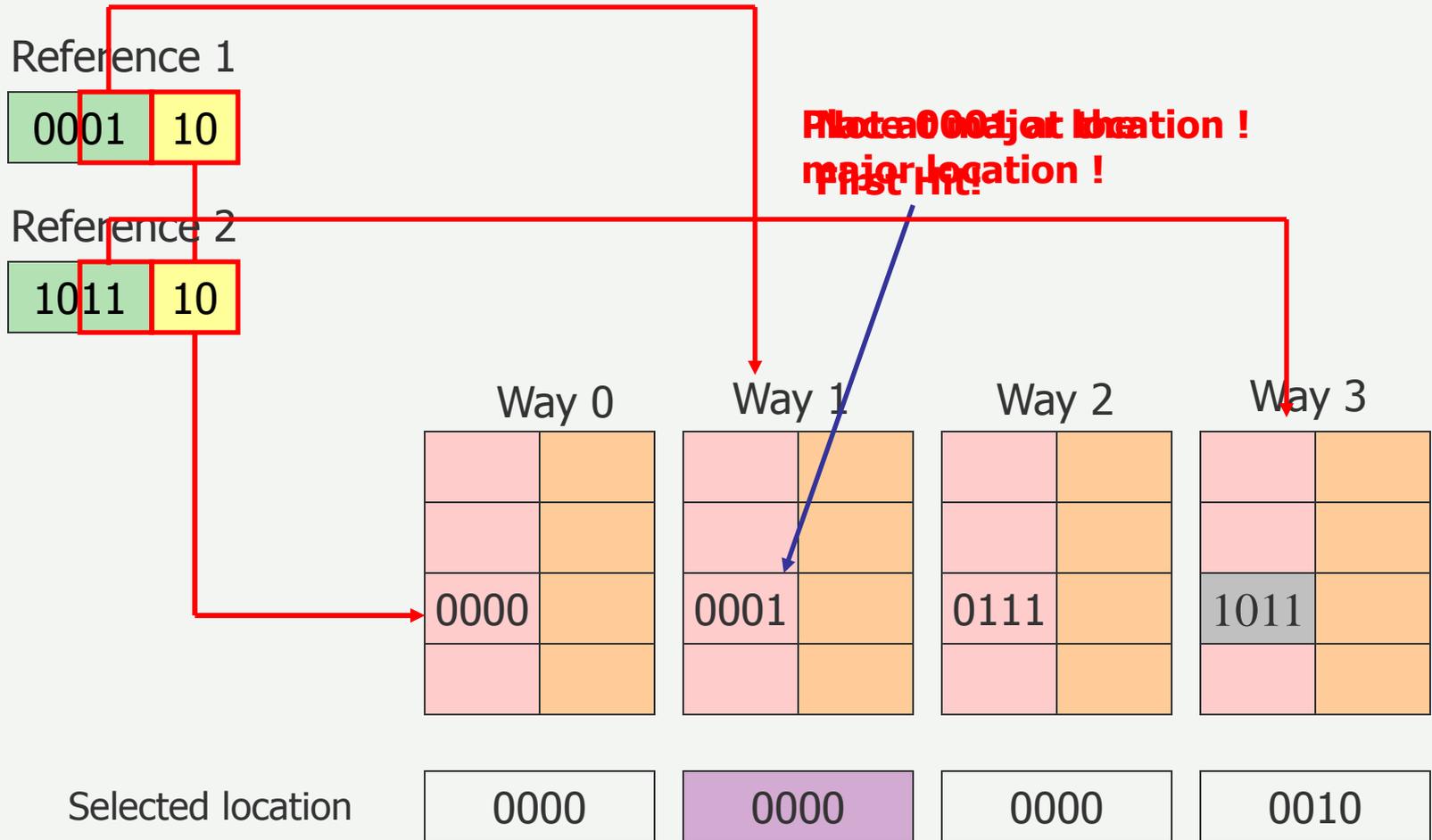
- The selected locations associated with its major location are indexed for a fast search.



## Summary of Multi-column Caches

- A **major location** in a set is the direct-mapped location of MRU.
- A **selected location** is the direct-mapped location but non-MRU.
- An selected location **index** is maintained for each major location.
- A “**swap**” is used to ensure the block in the major location is always MRU.

# Multi-column Cache Operations



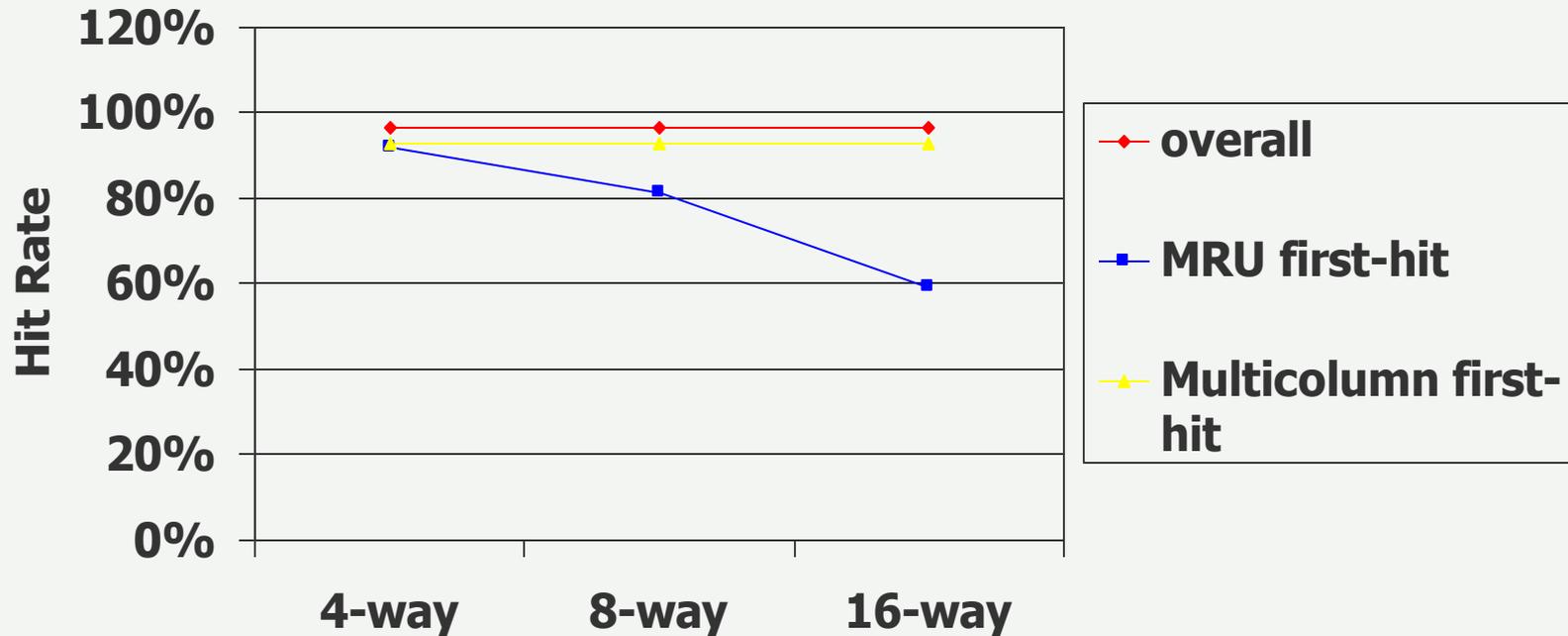
No selected location!  
No selected location!  
First Hit!

# Performance Summary of Multi-column Caches

- Hit ratio to the **major locations** is about 90%.
- The hit ratio is higher than that of direct-mapped cache due to high associativity while keeps low access time of direct-mapped cache in average.
  - **First-hit** is equivalent to direct-mapped.
  - **Non-first hits** are faster than set-associative caches.
- Not only outperform set associative caches but also
  - Column-associative caches (two way only, [ISCA'93](#), [MIT](#)).

# Comparing First-hit Ratios between Multicolumn and MRU Caches

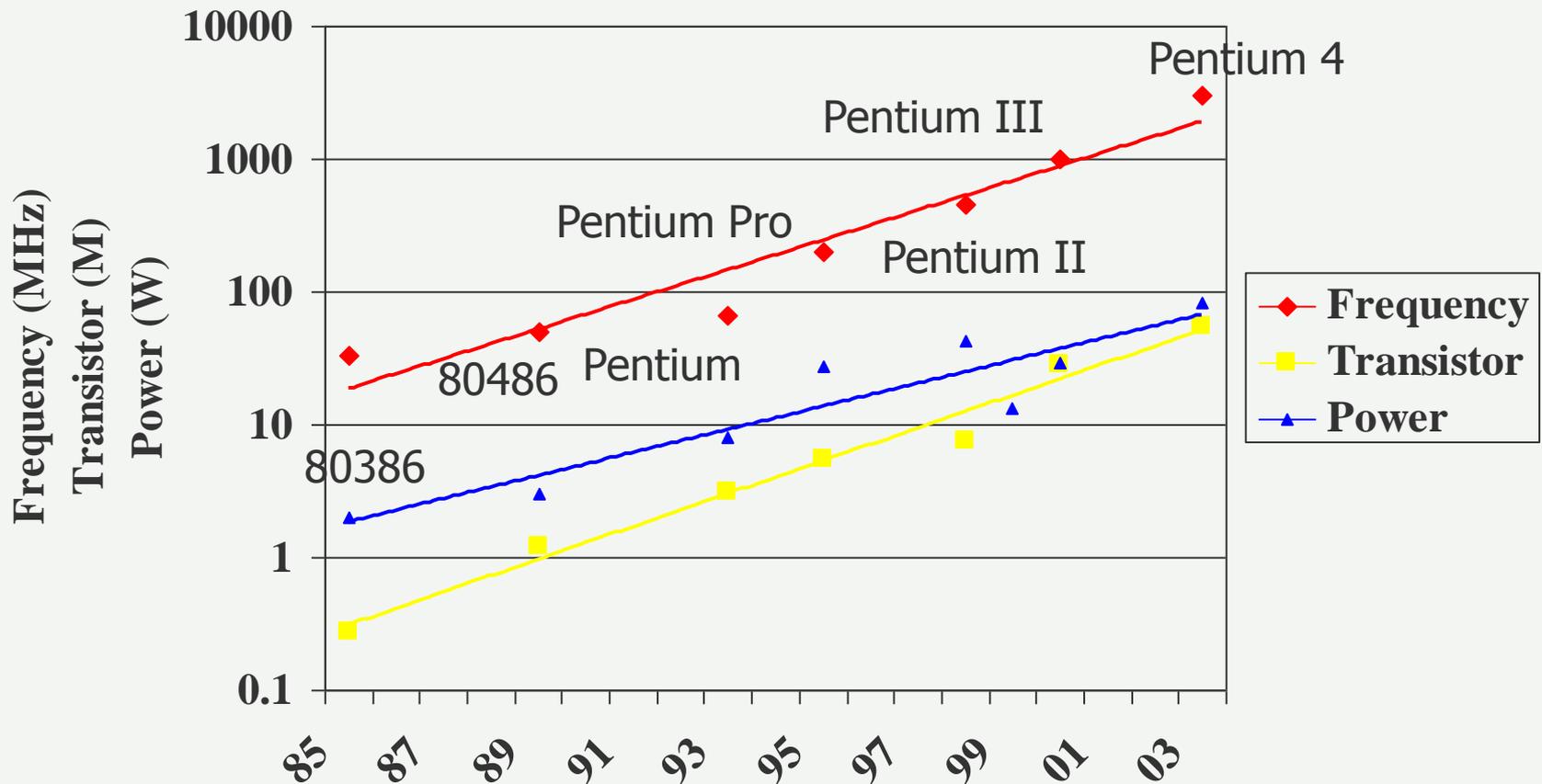
**64KB Data Cache Hit Rate (Program mgrid)**



# Some Complexity of Multi-column Caches

- Search of the selected locations can be
  - **sequential** based on the vector bits of each set, or
  - **parallel** with a multiplexor for a selection.
- If a mapping finds its major location is occupied by a selected location in another major location group,
  - either **search the bit vectors** to set the bit to 0, or simply
  - **Replace it** by the major location data. When the selected location is searched, it will be a miss.
- The index may be **omitted** by only relying on the swapping.
- **Partial indexing** by only tracing one selected location.

# Multi-column Technique is Critical for Low Power Caches



Source: Intel.com

# Importance of Low-power Designs

- Portable systems:
  - Limited battery lifetime
- High-end systems
  - Cooling and package cost
    - $> 40 \text{ W}$ :  $1 \text{ W} \rightarrow \$1$
    - Air-cooled techniques: reaching limits
  - Electricity bill
  - Reliability

# Low-power Techniques

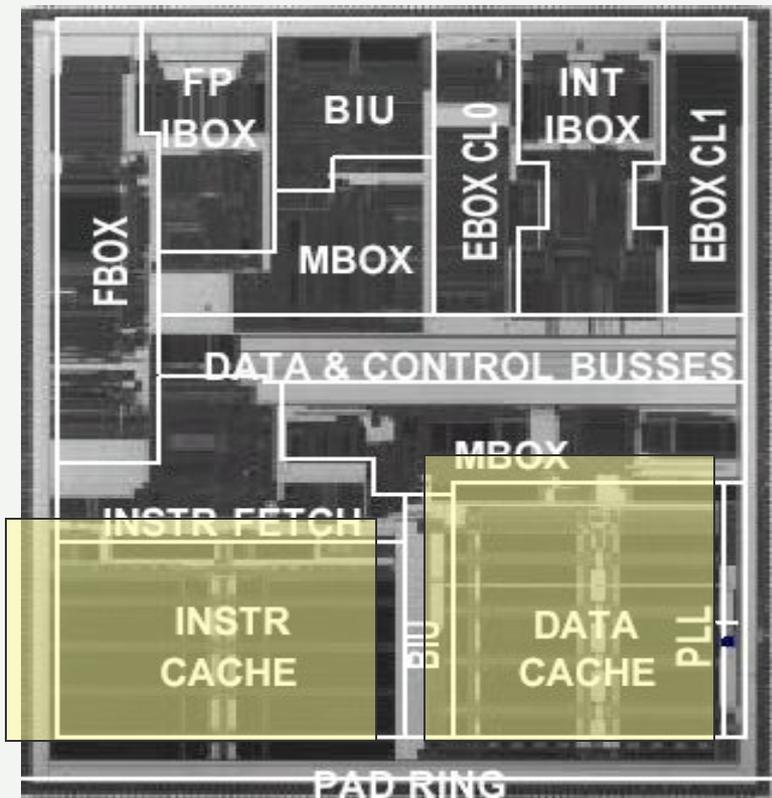
- Physical (CMOS) level
- Circuit level
- Logic level
- **Architectural level**
- OS level
- Compiler level
- Algorithm/application level

# Tradeoff between Performance and Power

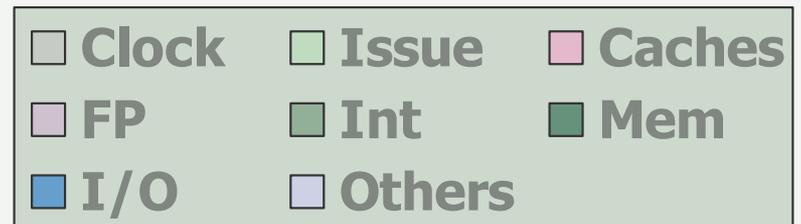
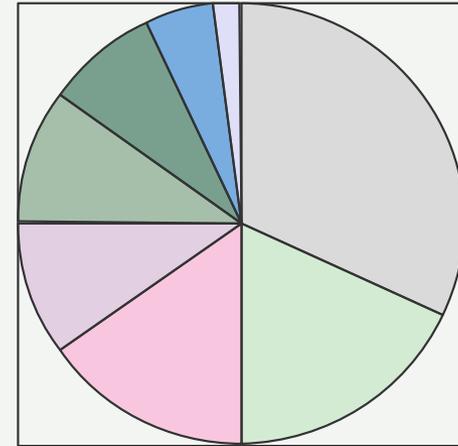
- Objects for general-purpose system
  - Reduce power consumption **without** degrading performance
- Common solution
  - Access/activate resources **only when necessary**
- Question
  - **When is necessary?**

# On-chip Caches: Area & Power

## (Alpha 21264)

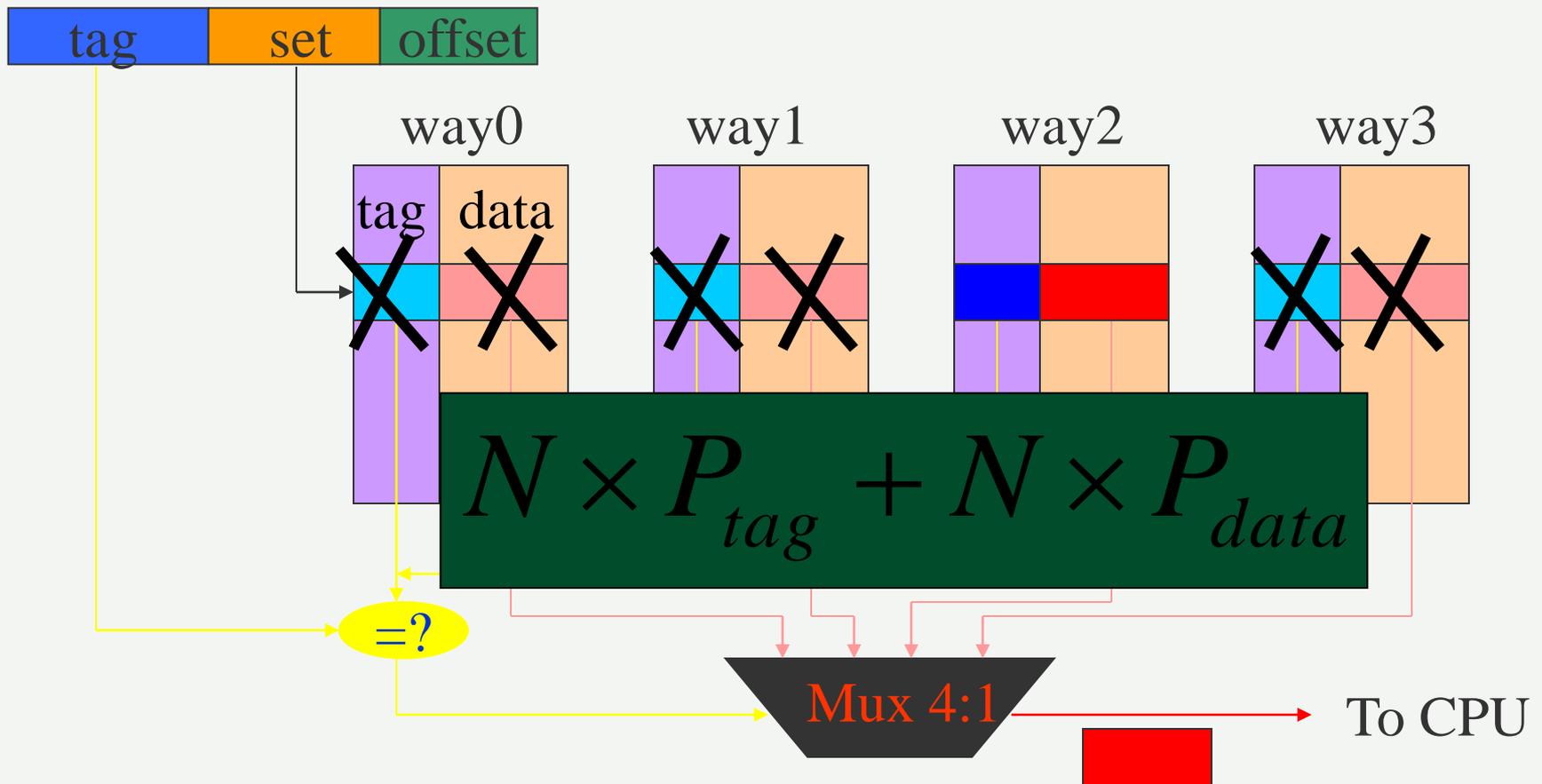


### Power Consumption



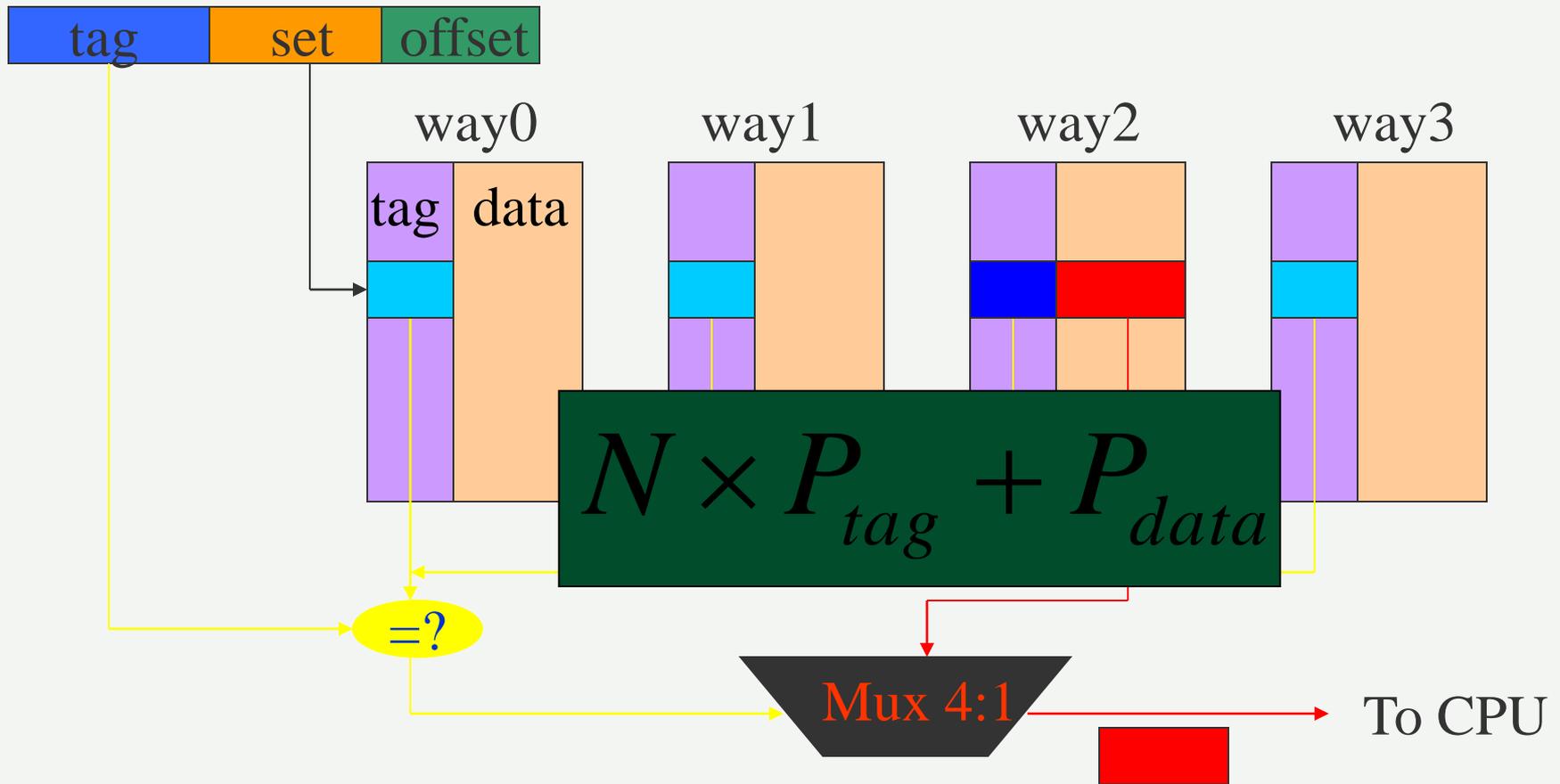
Source: CoolChip Tutorial

# Standard Set-associative Caches: Energy Perspective



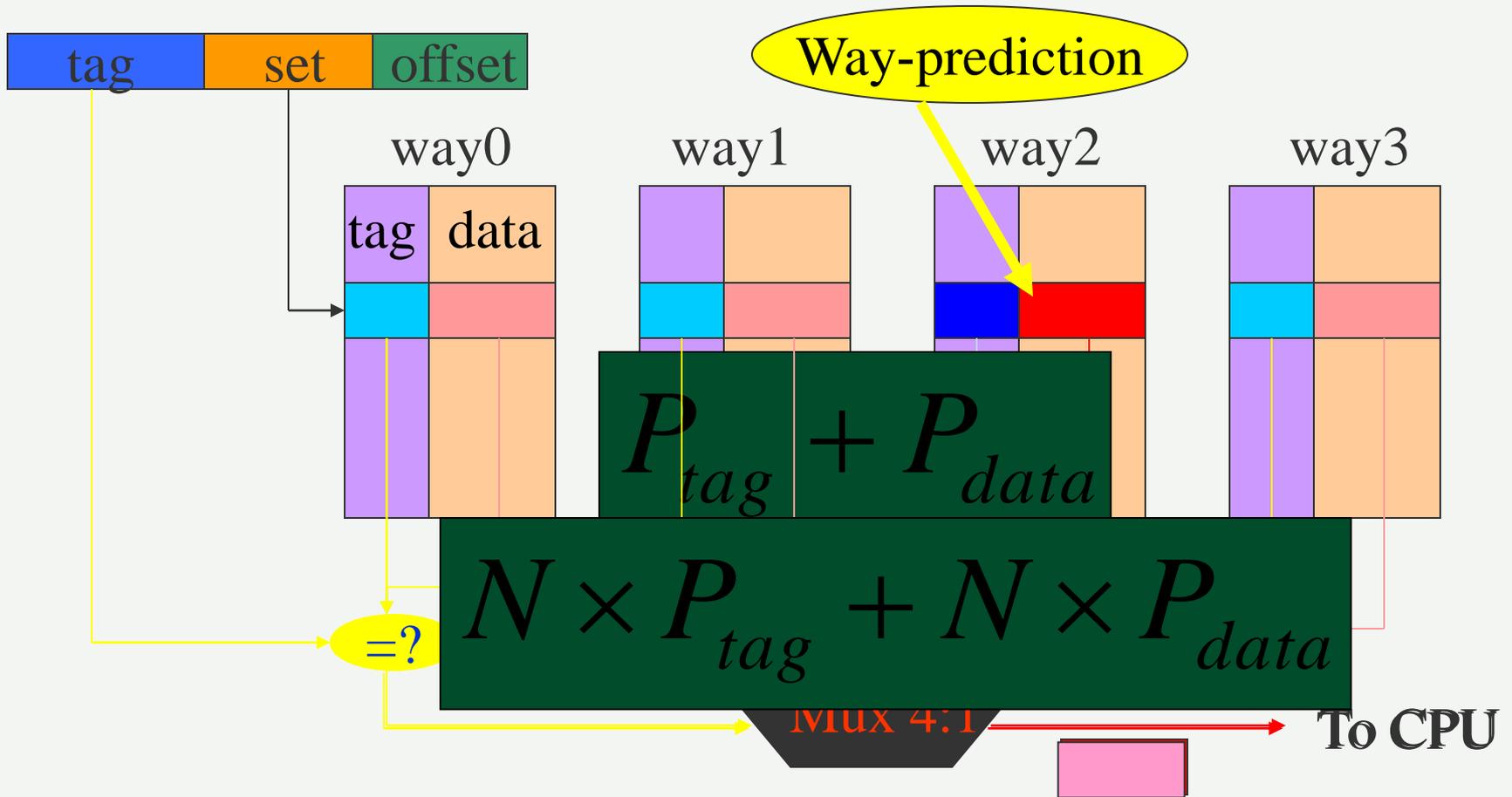
$N$  : cache associativity,  $P_{tag}$  : tag power,  $P_{data}$  : data power

# Phased Cache: tag checking first



$N$  : cache associativity,  $P_{tag}$  : tag power,  $P_{data}$  : data power

# Way-prediction Cache



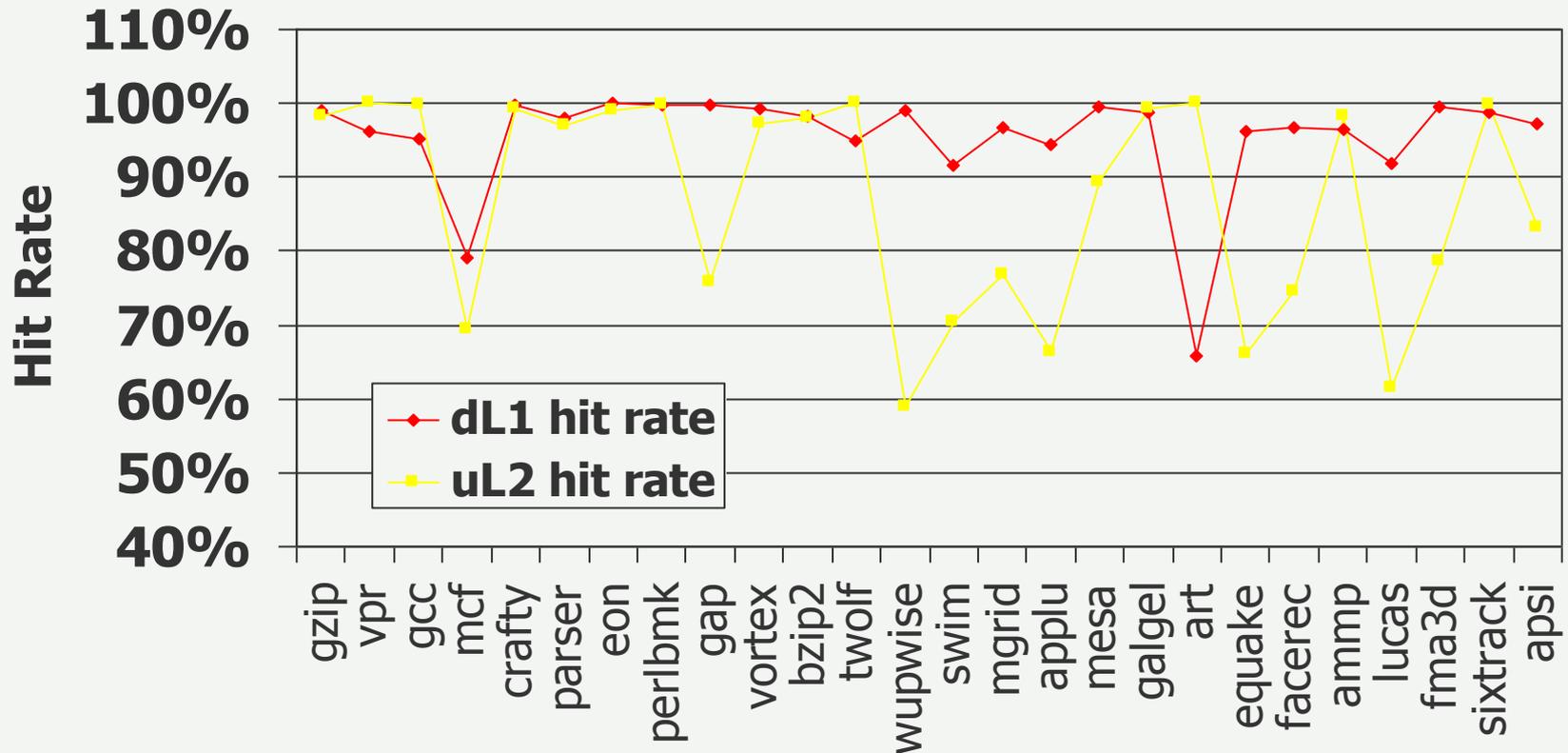
$N$  : cache associativity,  $P_{tag}$  : tag power,  $P_{data}$  : data power

# Limits of Existing Techniques

- Way-prediction caches
  - Benefits from **cache hits** ( $P_{tag} + P_{data}$ )
  - Effective for programs with **strong locality**
- Phased caches
  - Benefits from **cache misses** ( $N \times P_{tag}$ )
  - Effective for programs with **weak locality**

# Cache Hit Ratios are Very Different

Hit Rate (64KB D-Cache, 4MB L2 Cache)



# Cache Optimization Subject to Both Power and Access Time

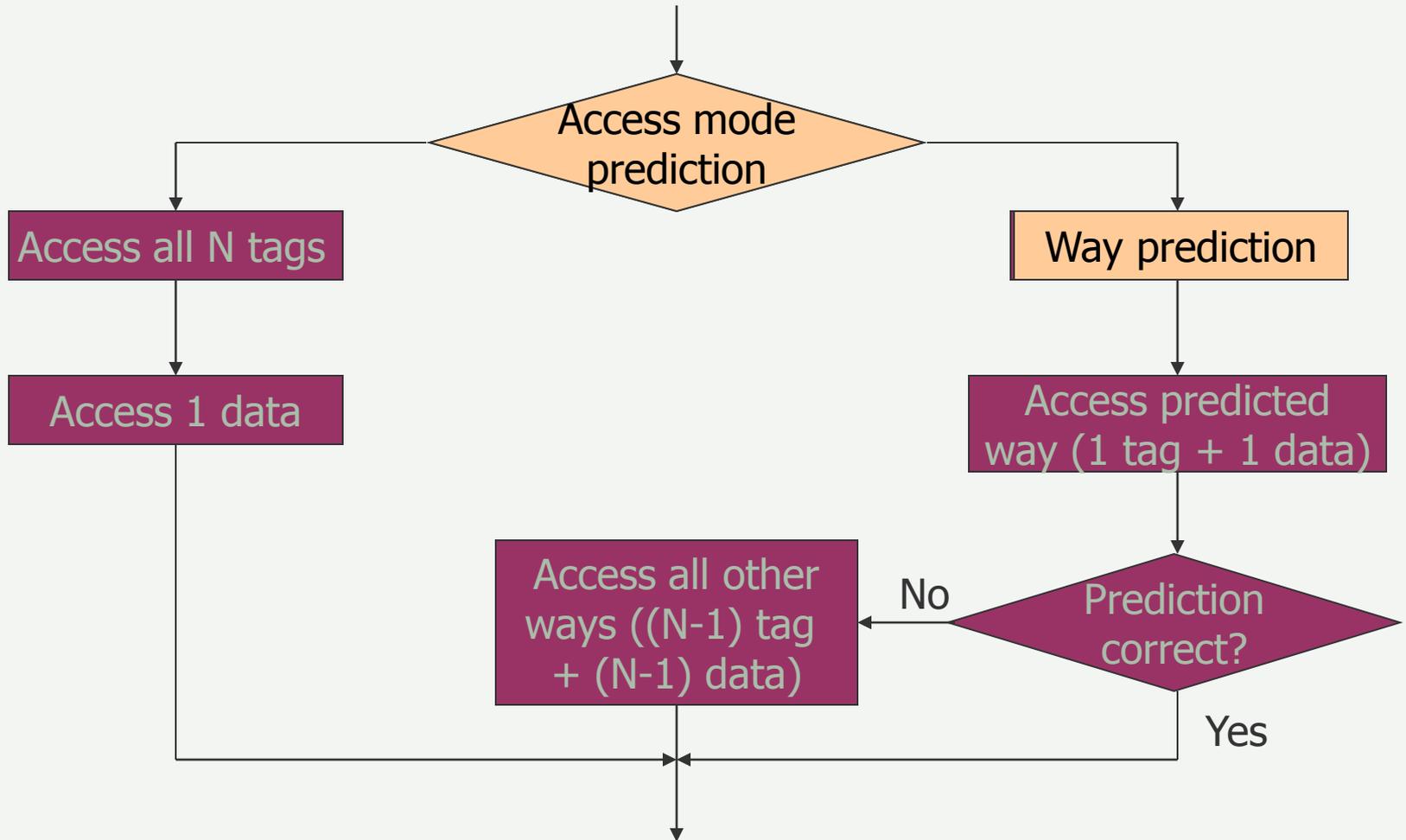
## ■ Objectives

- Pursue lowest access latency and power consumption for both cache hit and miss.
- Achieve consistent power saving across a wide range of applications.

## ■ Solution

- Apply way-prediction to cache hits and phase cache to misses.
- Access mode prediction (AMP) cache.
- Zhu and Zhang, IEEE Micro, 2002 (Ohio State)

# AMP Cache



# Prediction and Way Prediction

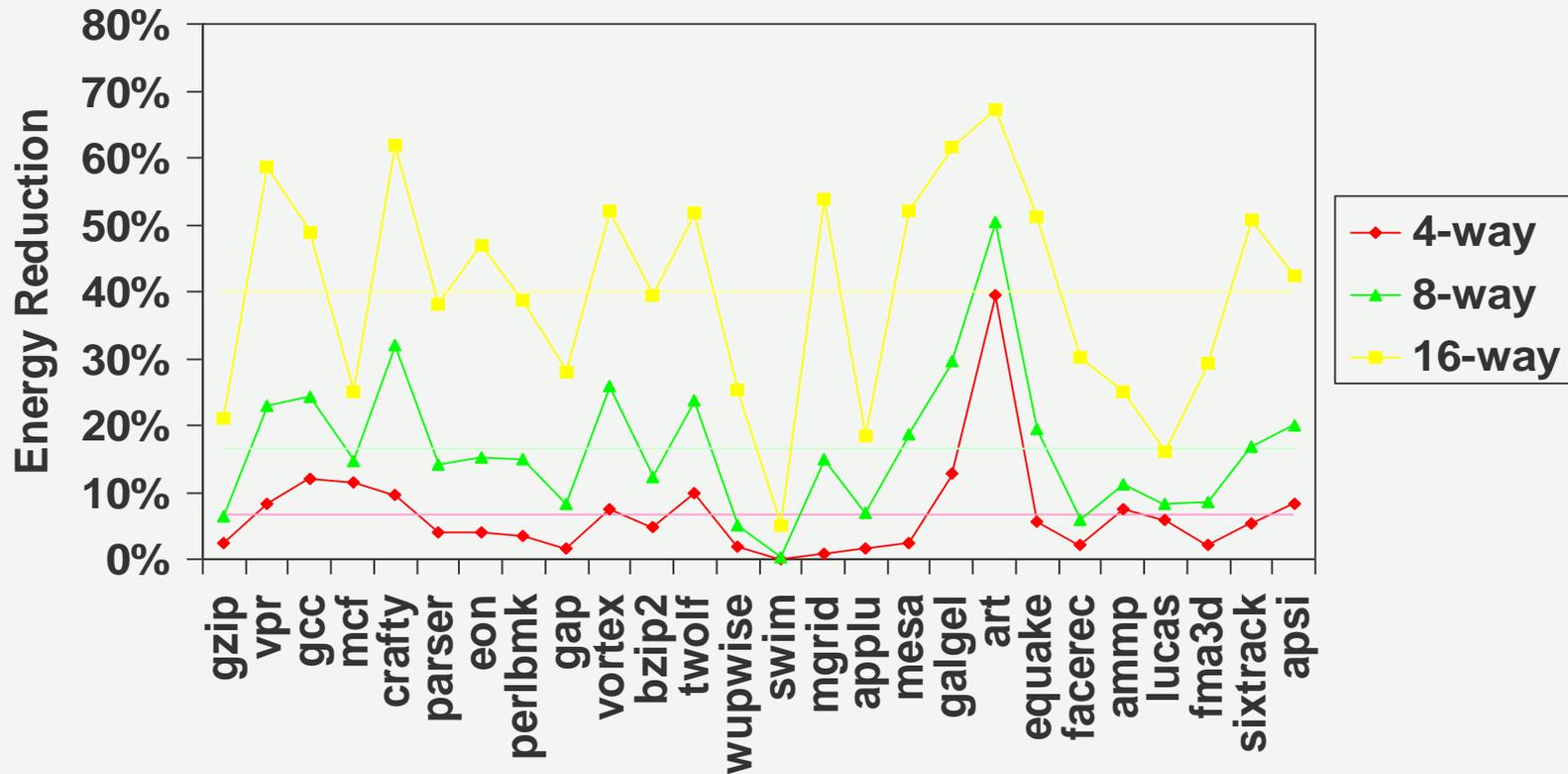
## ■ Prediction

- Access predictor is designed to predict next access be a hit or a miss.
- The prediction result is used to switch between phase cache and way prediction technique.
- Cache misses are clustered and program behavior is repetitive.
- Branch prediction techniques are adopted.

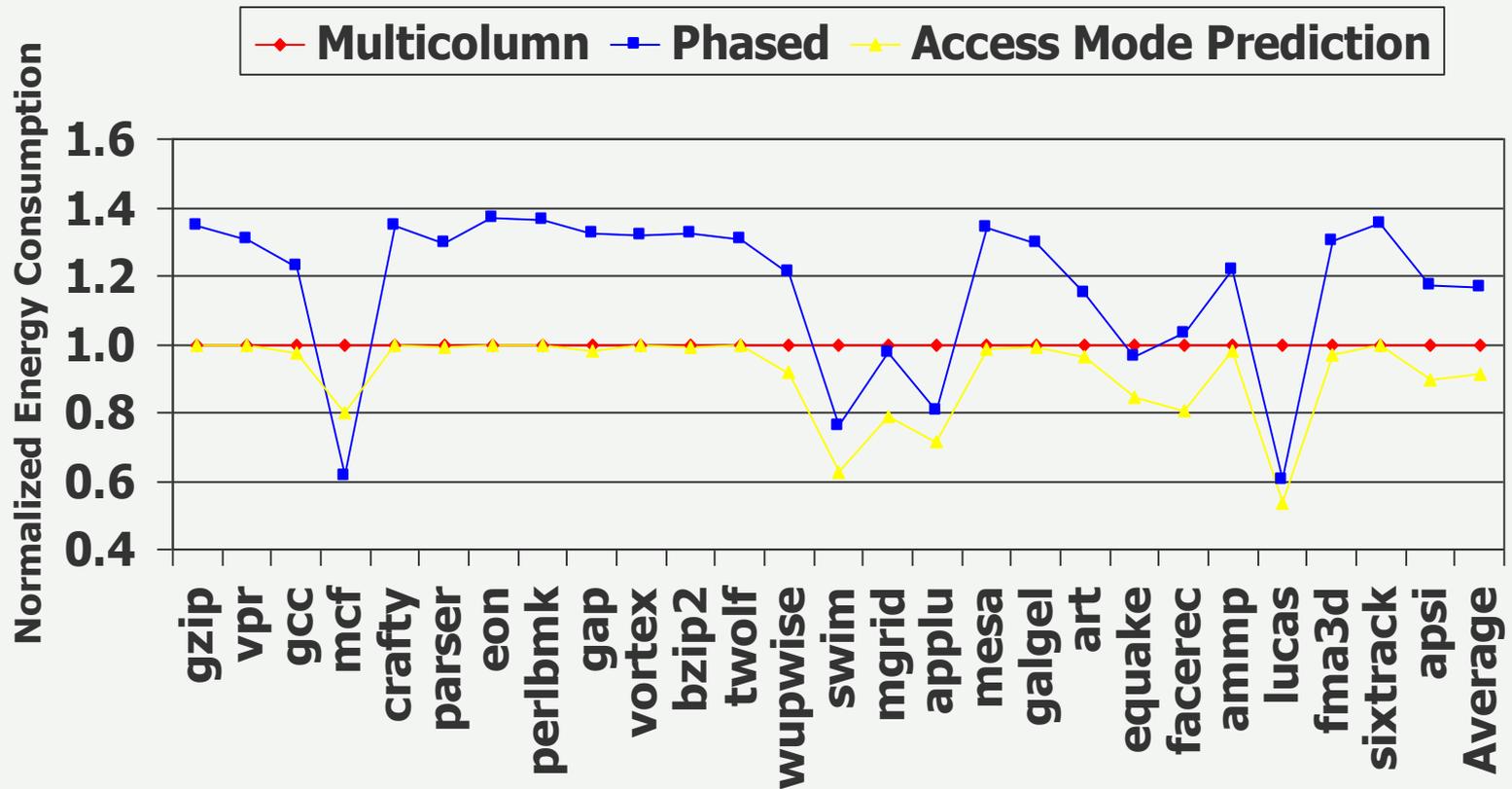
## ■ Way Prediction

- Multi-column is found the most effective.

# Energy Consumption: Multi-column over MRU Caches



# Energy Consumption



# Conclusion

- Multi-column cache fundamentally addresses the performance issue for both high hit ratio and low access time.
  - **major location mapping** is dominant and has the minimum access time (=direct-mapped access)
  - **swap** can increase the first hit ratios in major locations.
  - **Indexing selected locations** make non-first-hits fast.
- Multicolumn cache is also an effective **way prediction** mechanism for low powers.