

# LIRS: Low Inter-reference Recency Set Replacement for VM and Buffer Caches

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# Least Recent Used (LRU) Replacement

- LRU is most commonly used replacement for data management.
- Blocks are ordered by **recency** in the LRU stack.
- Blocks enter from the top, and leave from bottom.

*The stack is long, the bottom is the only exit.*

A block evicted from the bottom of the stack should have been evicted much earlier !



**LRU stack**

# The Problem of LRU Replacement

## Inability to cope with weak access locality

- **File scanning**: one-time accessed blocks are not replaced timely; (e.g. 50% disk data in NCAR only used once).
- **Loop-like accesses**: blocks to be accessed soonest can be unfortunately replaced;
- **Accesses with distinct frequencies**: Frequently accessed blocks can be unfortunately replaced.

# Reasons for LRU to Fail but Powerful

- Why LRU fails sometimes?
  - A **recently used** block will not necessarily be used again or soon.
  - The prediction is based on a **single source** information.
- Why it is so widely used?
  - **Simplicity**: an easy and simple data structure.
  - Works well for accesses **following LRU assumption**.

# The Challenges of Addressing the LRU problem

Two types of efforts to improve/replace LRU have been made:

- Case by case; or
- Building complex structure with high runtime overhead

**Our contributions** in SIGMETRICS'02 (Jiang and Zhang)

- Address the **limits** of LRU fundamentally.
- Retain the **low overhead** and **strong locality** merits of LRU.
- **Widely adopted** in buffer management in production systems.

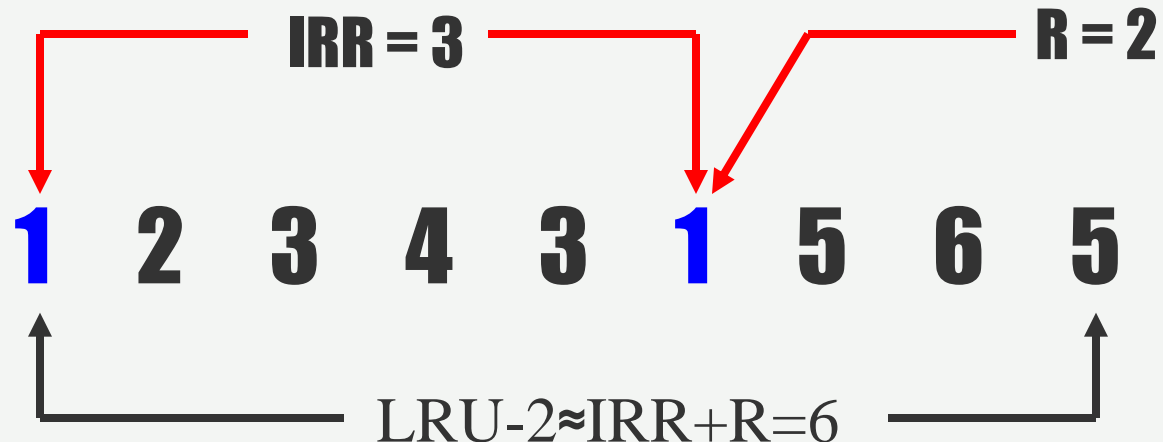
# Related Work

- **Aided by user-level hints**
  - Application-hinted caching and prefetching [[OSDI](#), [SOSP](#), ...]
  - rely on users' understanding of data access patterns.
- **Detection and adaptation of access regularities**
  - SEQ, EELRU, DEAR, AFC, UBM [[OSDI](#), [SIGMETRICS](#) ...]
  - case-by-case oriented approaches
- **Tracing and utilizing deeper history information**
  - LRFU, LRU-k, 2Q, ARC ([VLDB](#), [SIGMETRICS](#), [SIGMOD](#), [FAST](#) ...)
  - Implementation, runtime overhead, and suboptimal performance

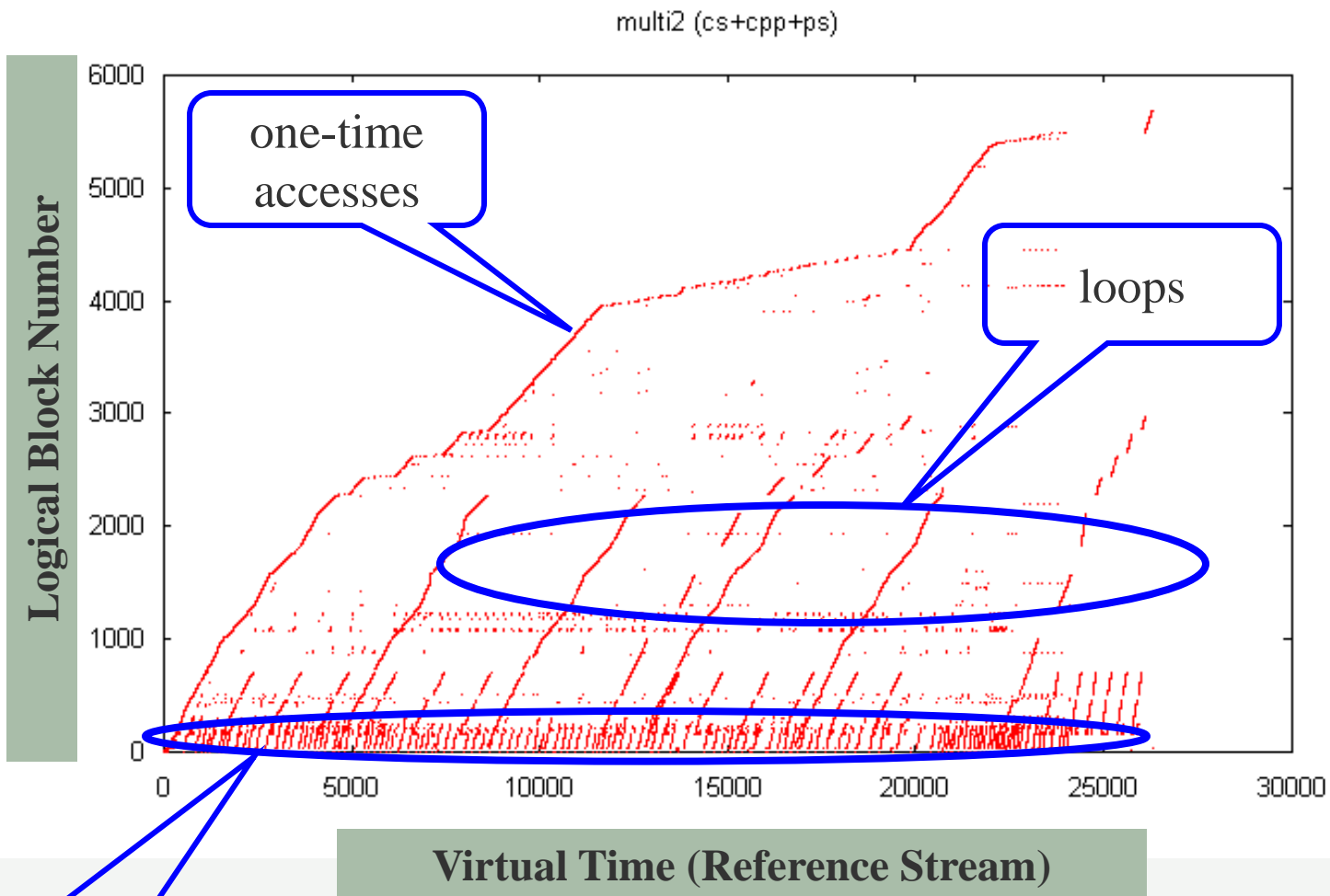
# Inter-Reference Recency (IRR)

**IRR** (= ``reuse distance'', 1970) of a block: the number of other unique blocks accessed between two consecutive references to the block.

**Recency**: the number of other unique blocks accessed from last reference to the current time.



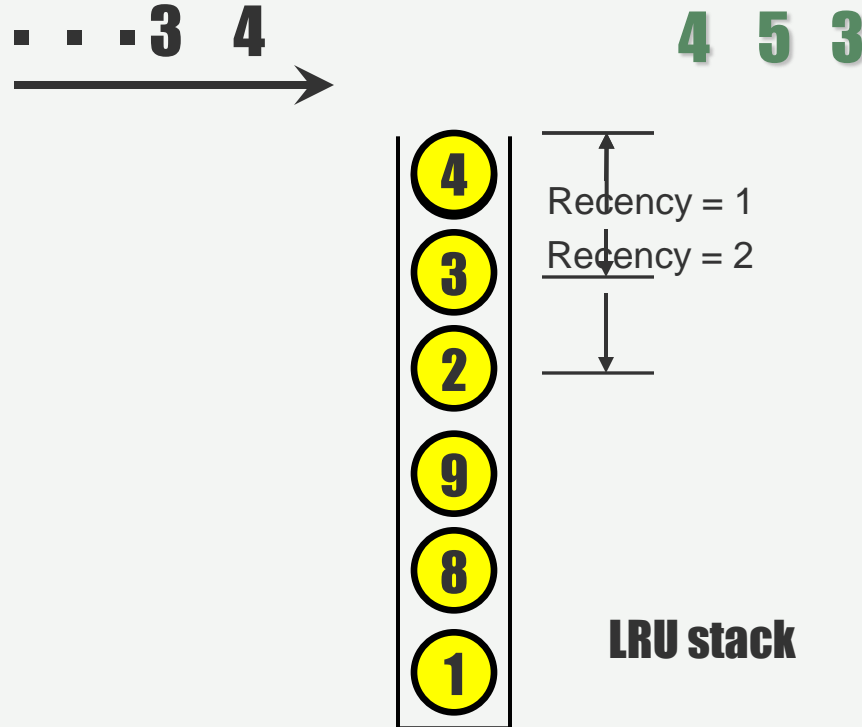
# Diverse Locality Patterns on Access Map





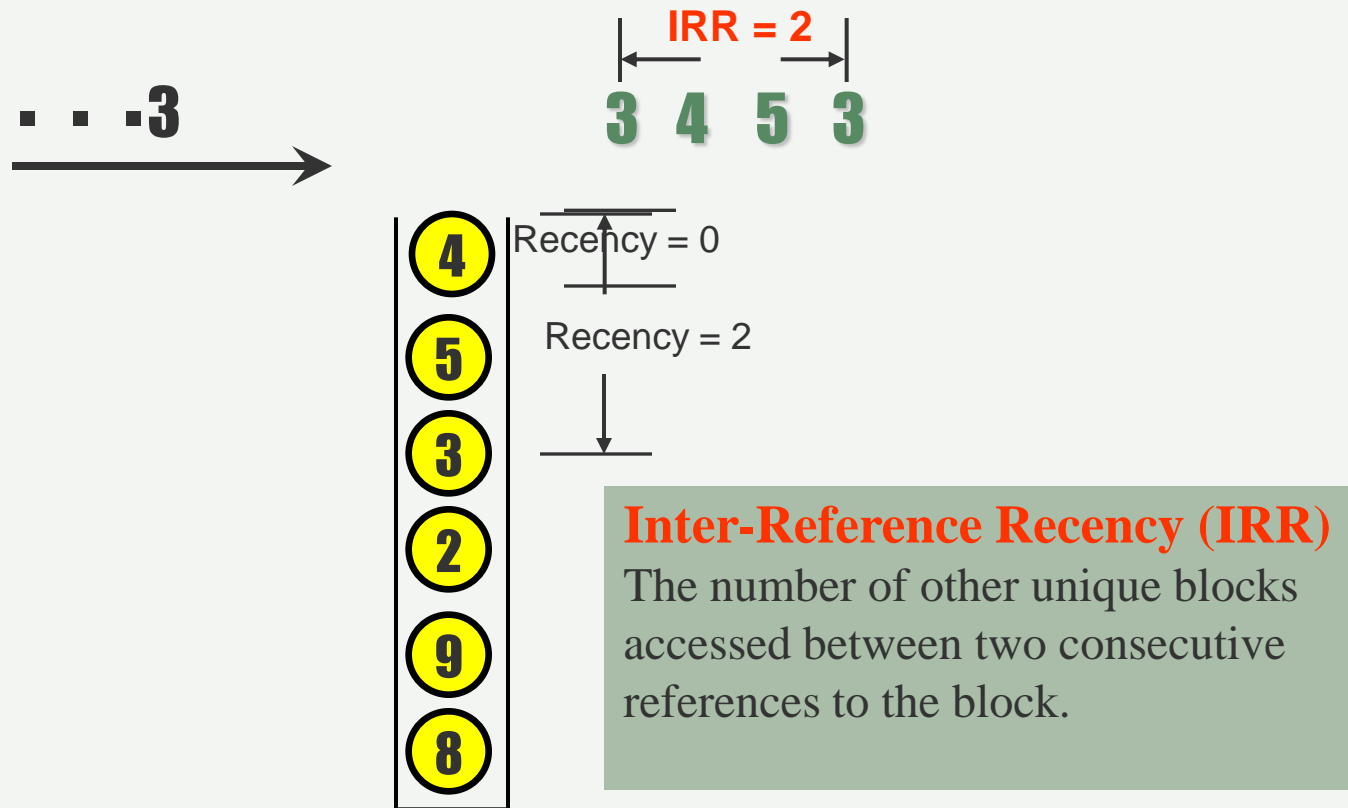
# Locality Quantification Limit in LRU Stack

- Blocks are ordered by recency;
- Blocks enter from the stack top, and leave from its bottom;

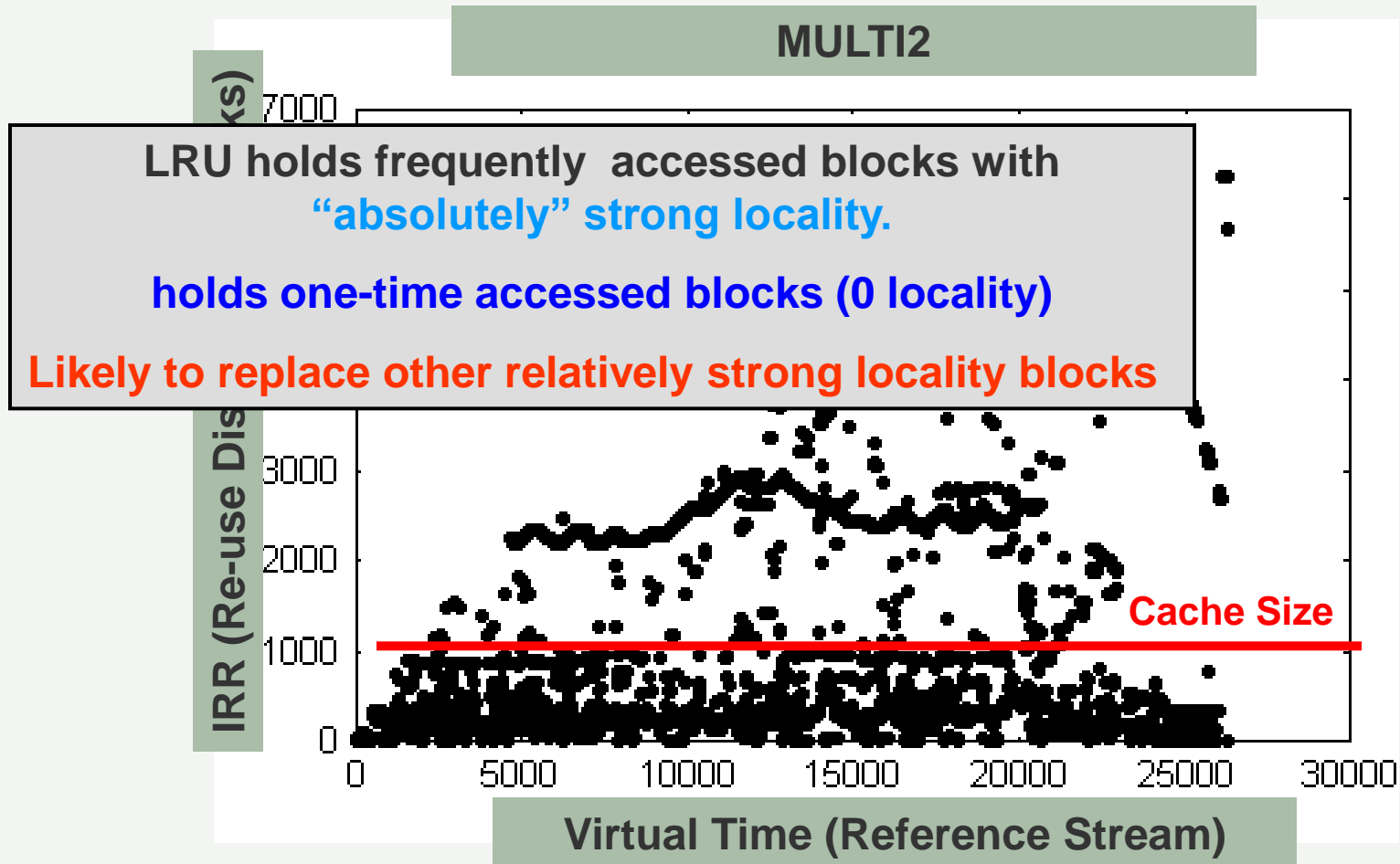


# LRU Stack

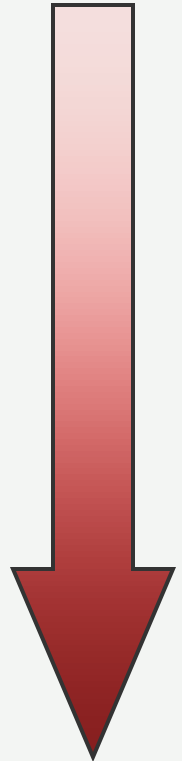
- Blocks are ordered by recency in the LRU stack;
- Blocks enter from the stack top, and leave from its bottom;



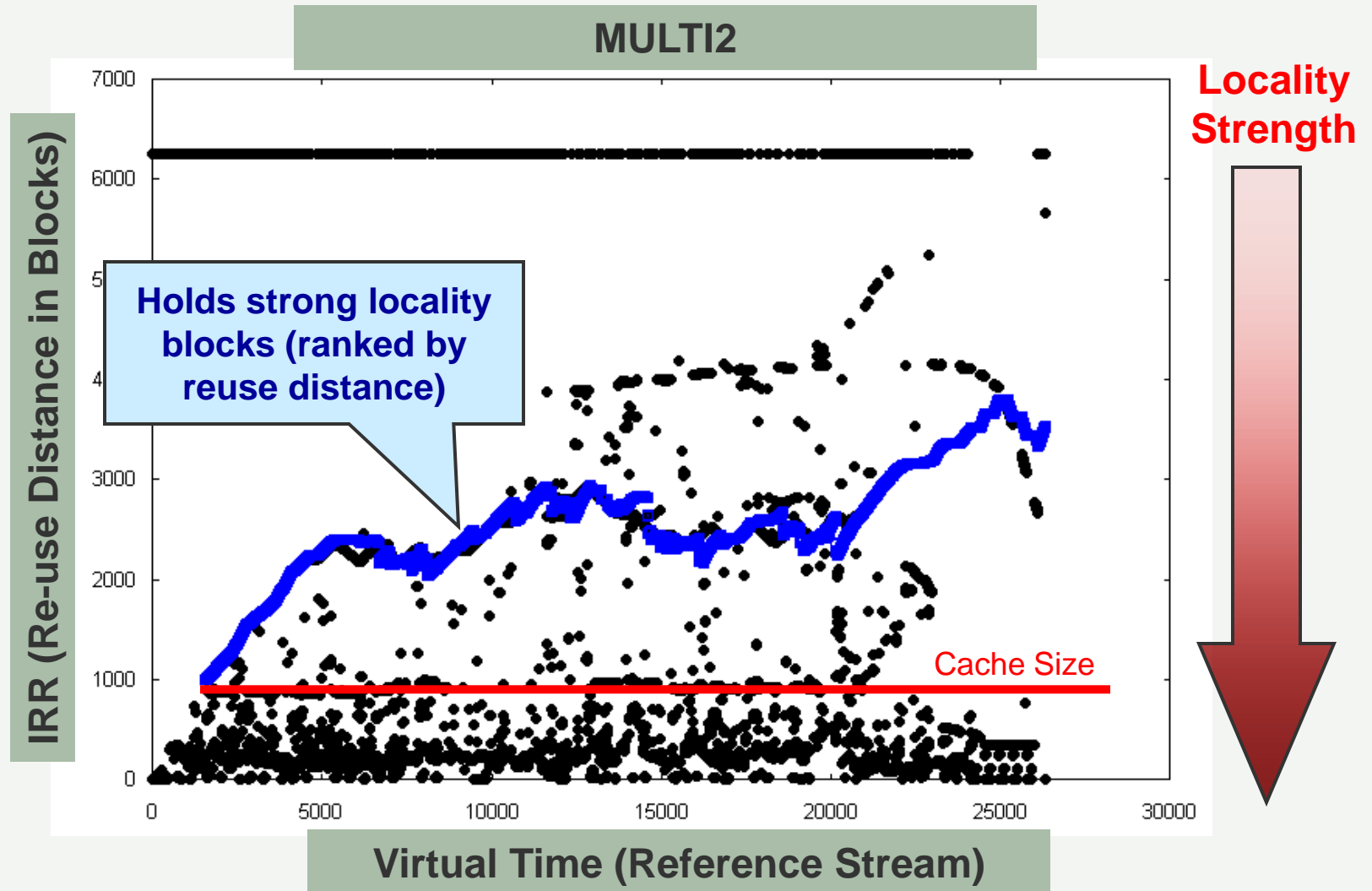
# Locality Strength



Locality  
Strength



# Looking for Blocks with Strong Locality

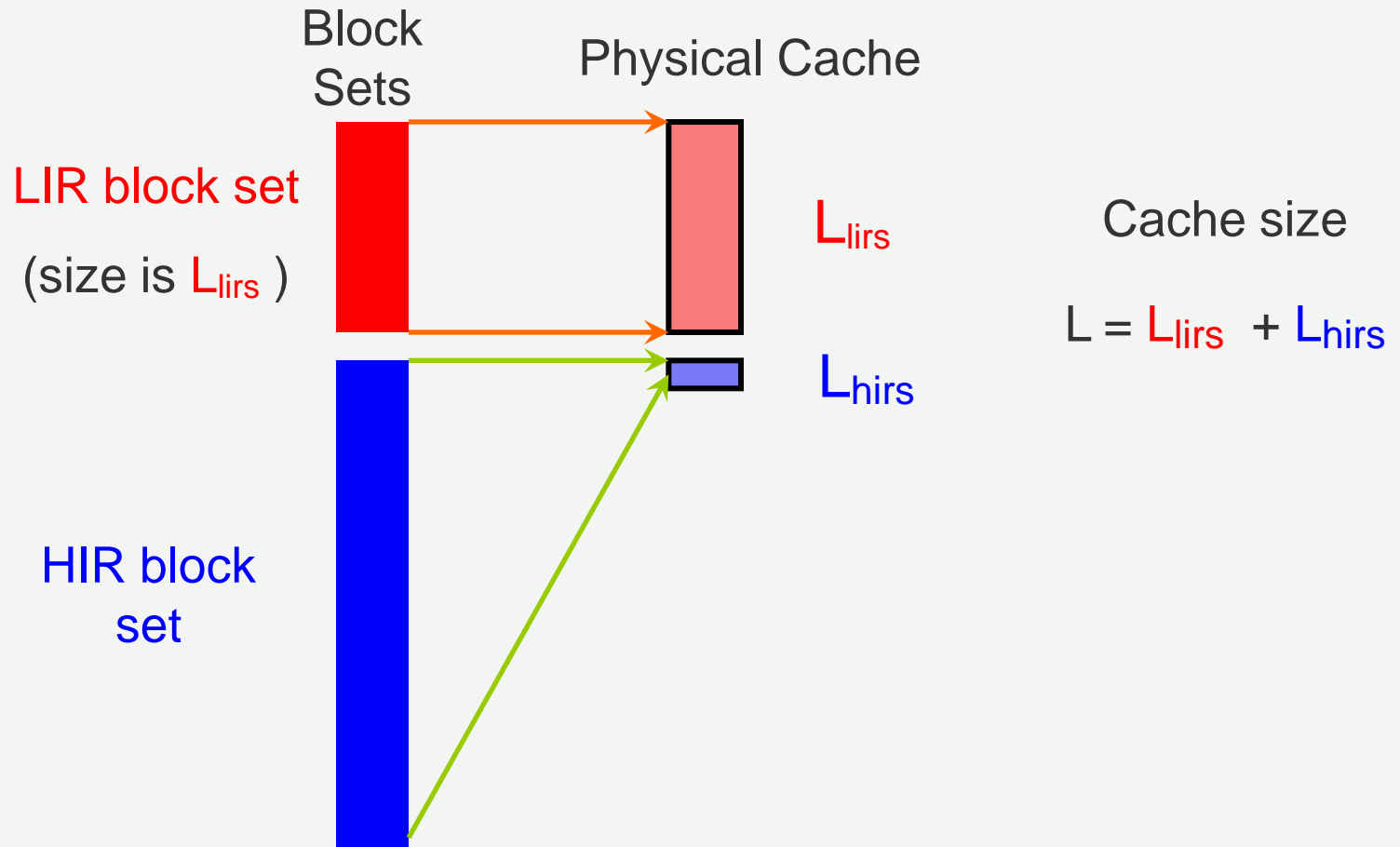


# Basic Ideas of LIRS

- A high reuse distance (**IRR**) block is not used often.
  - High IRR blocks are selected for replacement.
- **Recency** is used as a second reference.
- **LIRS**: **L**ow **I**nter-reference **R**ecency **S**et algorithm
  - Keep Low reuse distance (IRR) blocks in buffer cache.
- Foundations of LIRS:
  - effectively use **multiple sources** of access information.
  - **Responsively** determine and change the status of each block.
  - **Low cost** implementations.

# Data Structure: Keep LIR Blocks in Cache

Low IRR (LIR) blocks and High IRR (HIR) blocks



# Replacement Operations of LIRS

$$L_{lirs}=2, L_{hirs}=1$$

	V time / Blocks	1	2	3	4	5	6	7	8	9	10	R	IRR
LIR →	A	X					X		X			1	<b>1</b>
LIR →	B			X		X						3	<b>1</b>
	C				X							4	inf
	D		X					X				2	3
HIR →	E									X		<b>0</b>	inf

LIR block set = {**A**, **B**}, HIR block set = {**C**, **D**, **E**}

**E** becomes a resident HIR determined by its low **recency**

# Which Block is replaced ? Replace an HIR Block

D is referenced at time 10

V time / Blocks	1	2	3	4	5	6	7	8	9	10	R	IRR
<b>A</b>	<b>X</b>					<b>X</b>		<b>X</b>			1	1
<b>B</b>			<b>X</b>		<b>X</b>						3	1
<b>C</b>				<b>X</b>							4	inf
<b>D</b>		<b>X</b>					<b>X</b>			<b>X</b>	0	3
<b>E</b>									<b>X</b>		1	Inf

replaced →

The resident HIR block **E** is replaced !



# How is LIR Set Updated? LIR Block Recency is Used

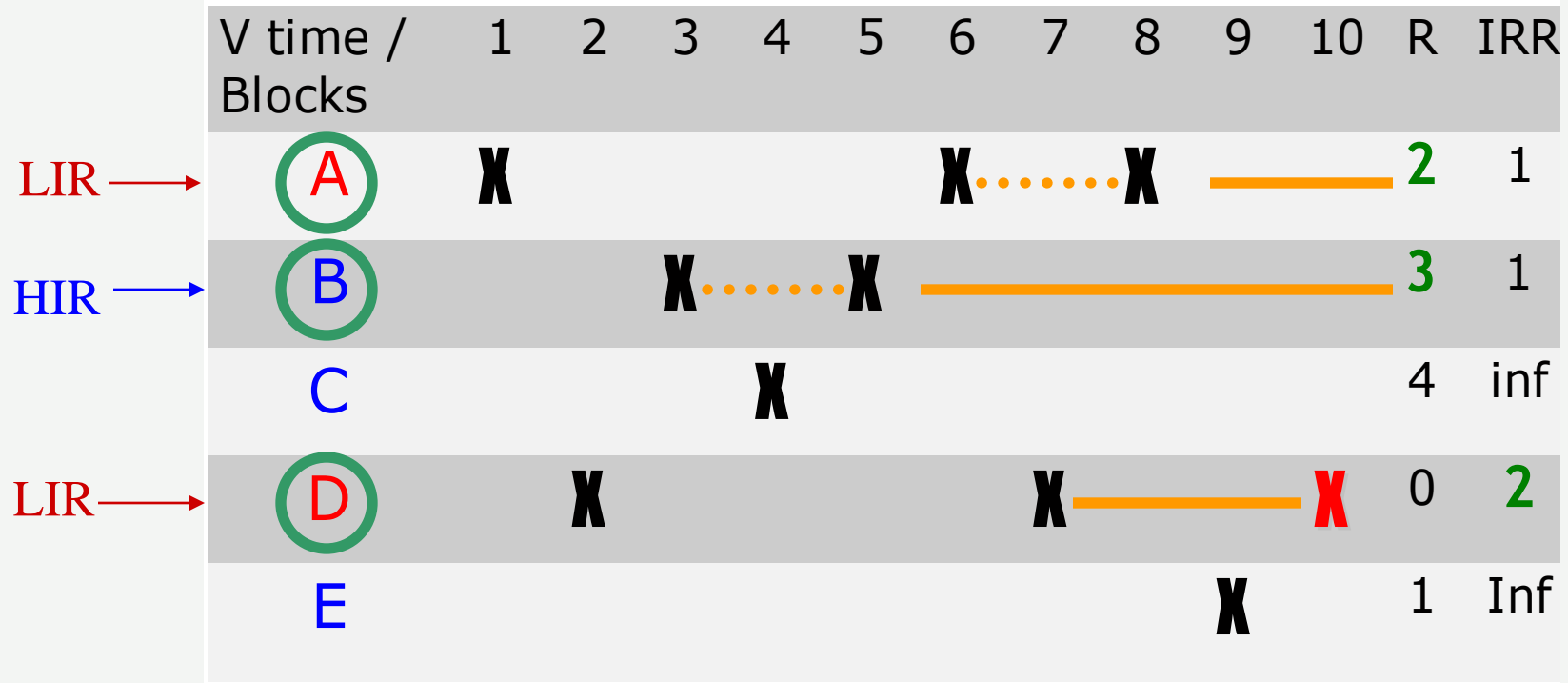
V time / Blocks	1	2	3	4	5	6	7	8	9	10	R	IRR
<div><div>A</div></div>	X					X	...	X			2	1
<div><div>B</div></div>			X	...	X						3	1
<div>C</div>				X							4	inf
<div><div>D</div></div>		X					X			<del>X</del>	0	2
									X		1	inf

Which set, **HIR** or **LIR** should **D** belong to?

Compare its IRR with recency of LIR.

Recency reflects the most updated status.

## After **D** is Referenced at Time 10



**D** enters **LIR** set, and **B** is demoted to **HIR** set

Because **D**'s  $IRR < R_{\max}$  in LIR set

# The Power of LIRS Replacement

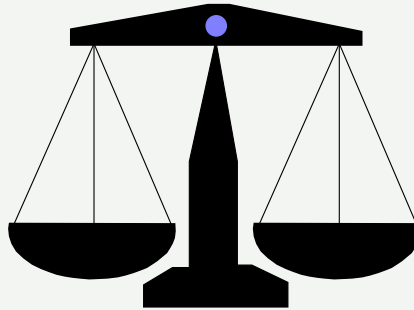
Capability to cope with weak access locality

- **File scanning**: one-time access blocks will be replaced timely; (due to their high IRRs)
- **Loop-like accesses**: blocks to be accessed soonest will NOT be replaced; (due to an MRU effect of HIR blocks)
- **Accesses with distinct frequencies**: Frequently accessed blocks in short reuse distance will NOT be replaced.  
(dynamic status changes)

# LIRS Efficiency: $O(1)$

***IRR<sub>HIR</sub>***

(New IRR of a  
HIR block)



***R<sub>max</sub>***

(Maximum Recency of LIR  
blocks)

Can  $O(LIRS) = O(LRU) = O(1)$ ?

**YES!** this efficiency is achieved by our **LIRS stack**.

- Both **recencies** and useful **IRRs** are automatically recorded.
- **R<sub>max</sub>** of the block in the stack bottom is larger than IRRs of others.
- No comparison operations are needed.

# LIRS Operations

- Initialization: All the referenced blocks are given an LIR status until LIR block set is full.

We place resident HIR blocks in a small LRU Stack.

- Upon accessing an LIR block (a hit)
- Upon accessing a resident HIR block (a hit)
- Upon accessing a non-resident HIR block (a miss)



**LIRS stack**

- resident in cache
- LIR block
- HIR block

Cache size

$$L = 5$$

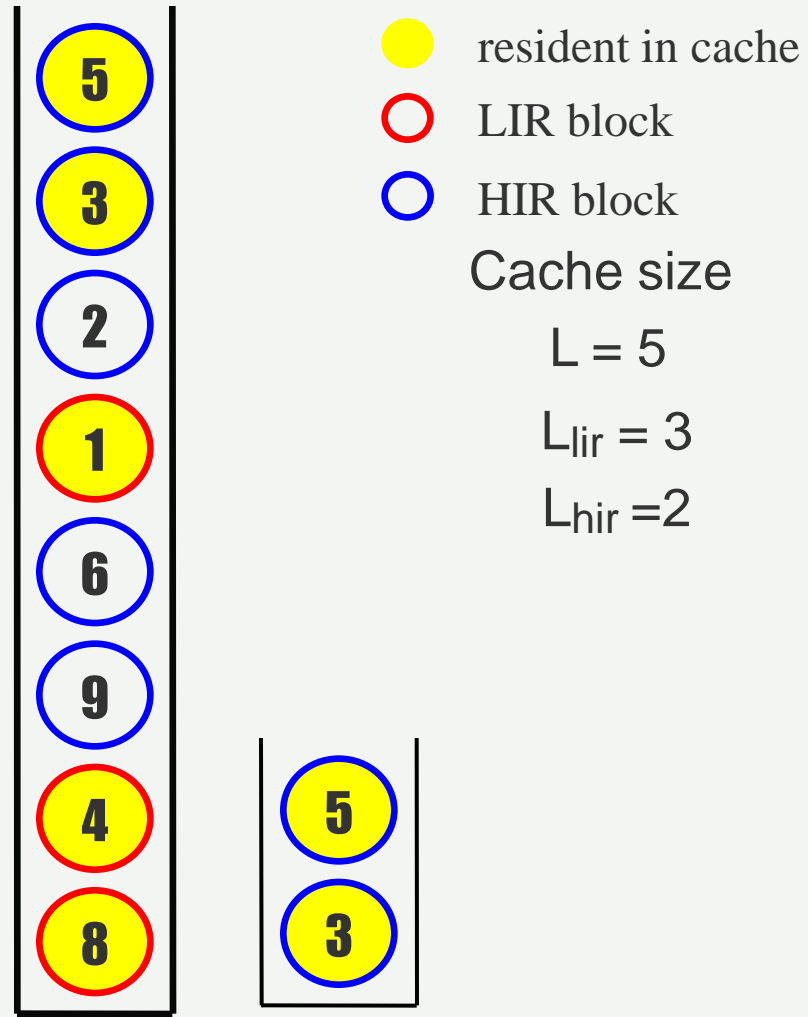
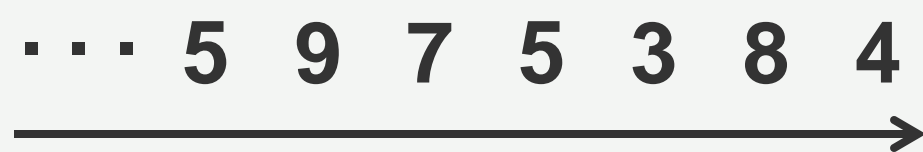
$$L_{lir} = 3$$

$$L_{hir} = 2$$

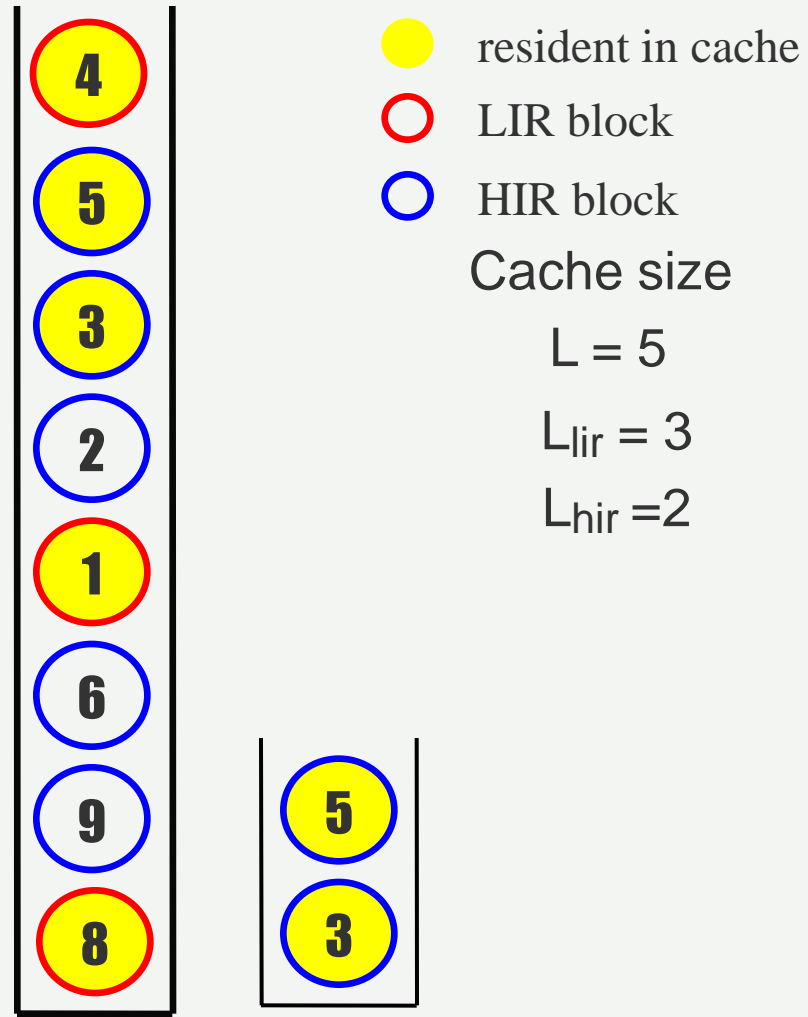
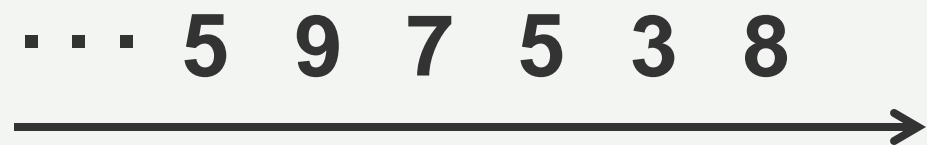


**LRU Stack for HIRs**

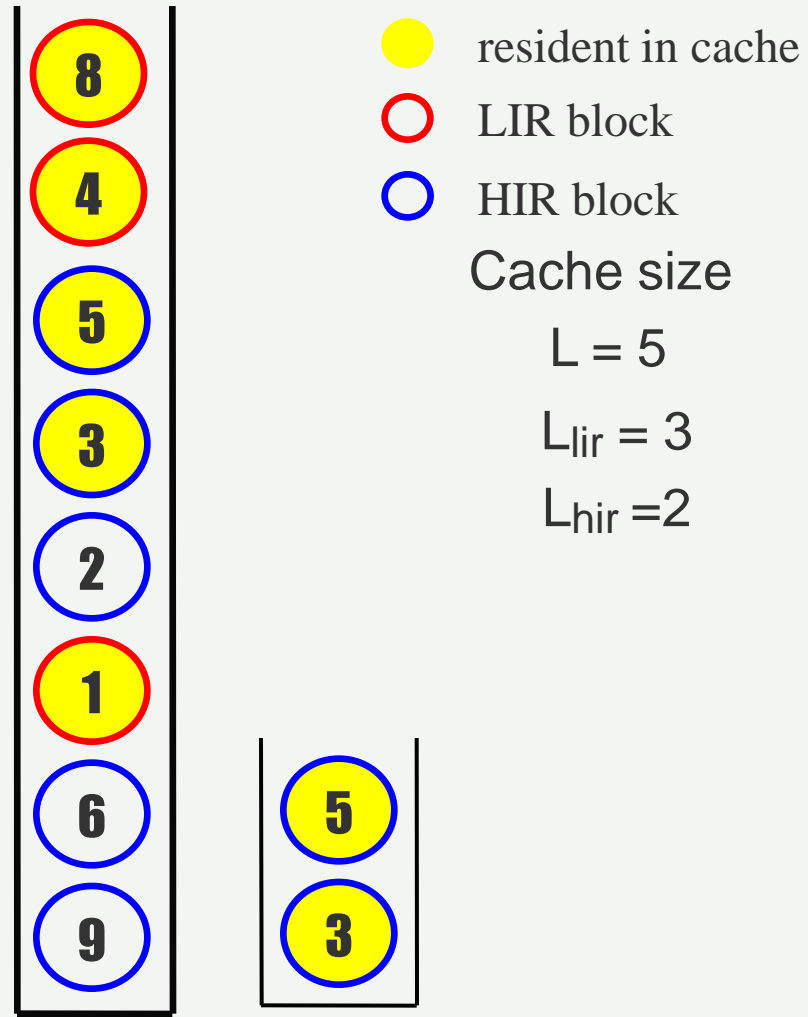
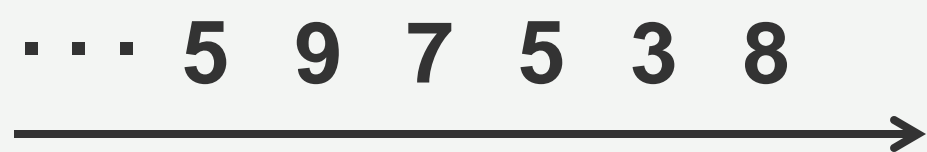
# Access an LIR Block (a Hit)



# Access an LIR Block (a Hit)

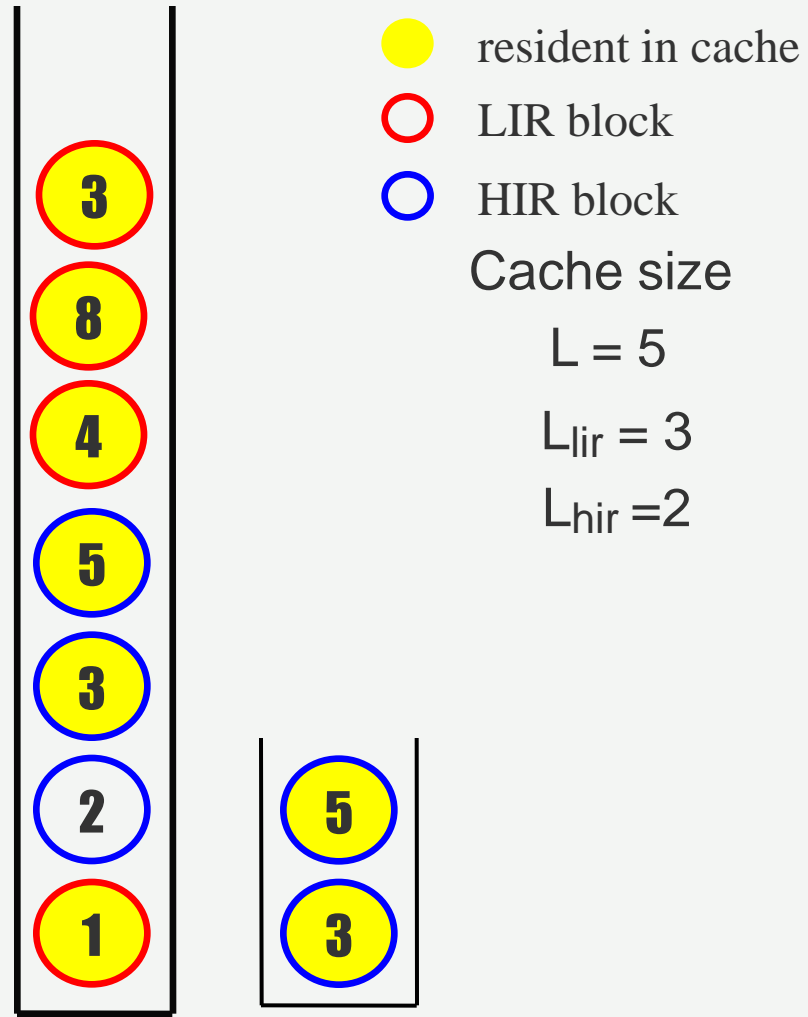
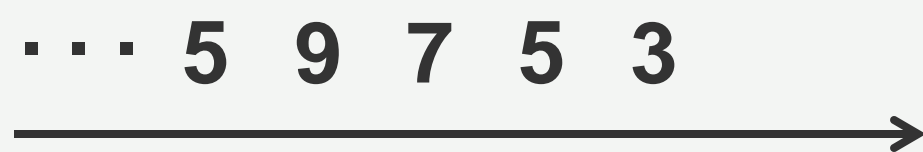


# Access an LIR block (a Hit)





# Access a Resident HIR Block (a Hit)



# Access a Resident HIR Block (a Hit)

... 5 9 7 5 3



● resident in cache

○ LIR block

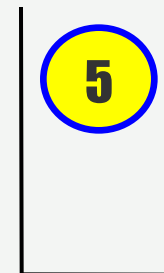
○ HIR block

Cache size

$L = 5$

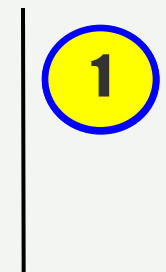
$L_{lir} = 3$

$L_{hir} = 2$



# Access a Resident HIR Block (a Hit)

... 5 9 7 5 3



 resident in cache

 LIR block

 HIR block

Cache size

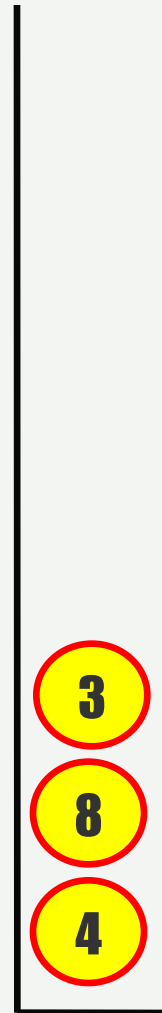
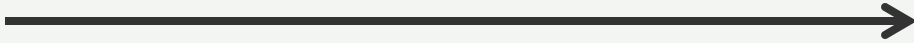
$L = 5$

$L_{\text{lir}} = 3$

$L_{\text{hir}} = 2$

# Access a Resident HIR Block (a Hit)

... 5 9 7 5



● resident in cache

○ LIR block

○ HIR block

Cache size

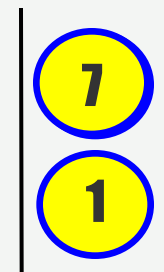
$L = 5$

$L_{\text{lir}} = 3$

$L_{\text{hir}} = 2$

# Access a Non-Resident HIR block (a Miss)

... 5 9 7



 resident in cache

 LIR block

 HIR block

Cache size

$L = 5$




$L_{\text{lir}} = 3$

$L_{\text{hir}} = 2$

# Access a Non-Resident HIR block (a Miss)

... 5 9



-  resident in cache
-  LIR block
-  HIR block

Cache size

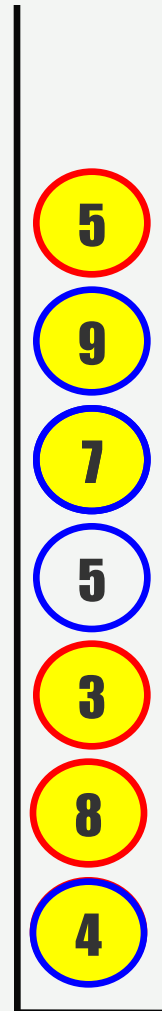
$$L = 5$$




$$L_{\text{lir}} = 3$$

$$L_{\text{hir}} = 2$$

# Access a Non-Resident HIR block (a Miss)

... 5



-  resident in cache
-  LIR block
-  HIR block

Cache size

$$L = 5$$

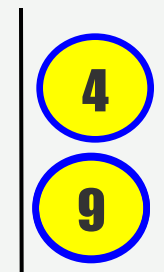
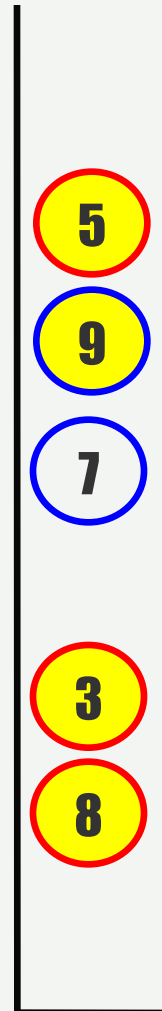
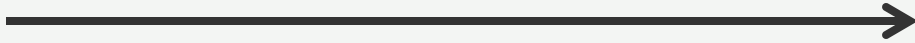
$$L_{\text{lir}} = 3$$

$$L_{\text{hir}} = 2$$



# Access a Non-Resident HIR block (a Miss)

...



● resident in cache

○ LIR block

○ HIR block

Cache size

$L = 5$

$L_{lir} = 3$

$L_{hir} = 2$



# LIRS Stack Simplifies Replacement

- **Recency** is ordered in stack with ***R<sub>max</sub>*** LIR block in bottom
- **No need** to keep track of each HIR block's **IRR** because
  - A newly accessed HIR block's IRRs in stack = **recency** < ***R<sub>max</sub>***.
- A small LRU stack is used to store resident **HIR** blocks.
- Additional operations of **pruning** and **demoting** are constant.
- Although LIRS operations are much more dynamic than LRU, its **complexity** is identical to LRU.

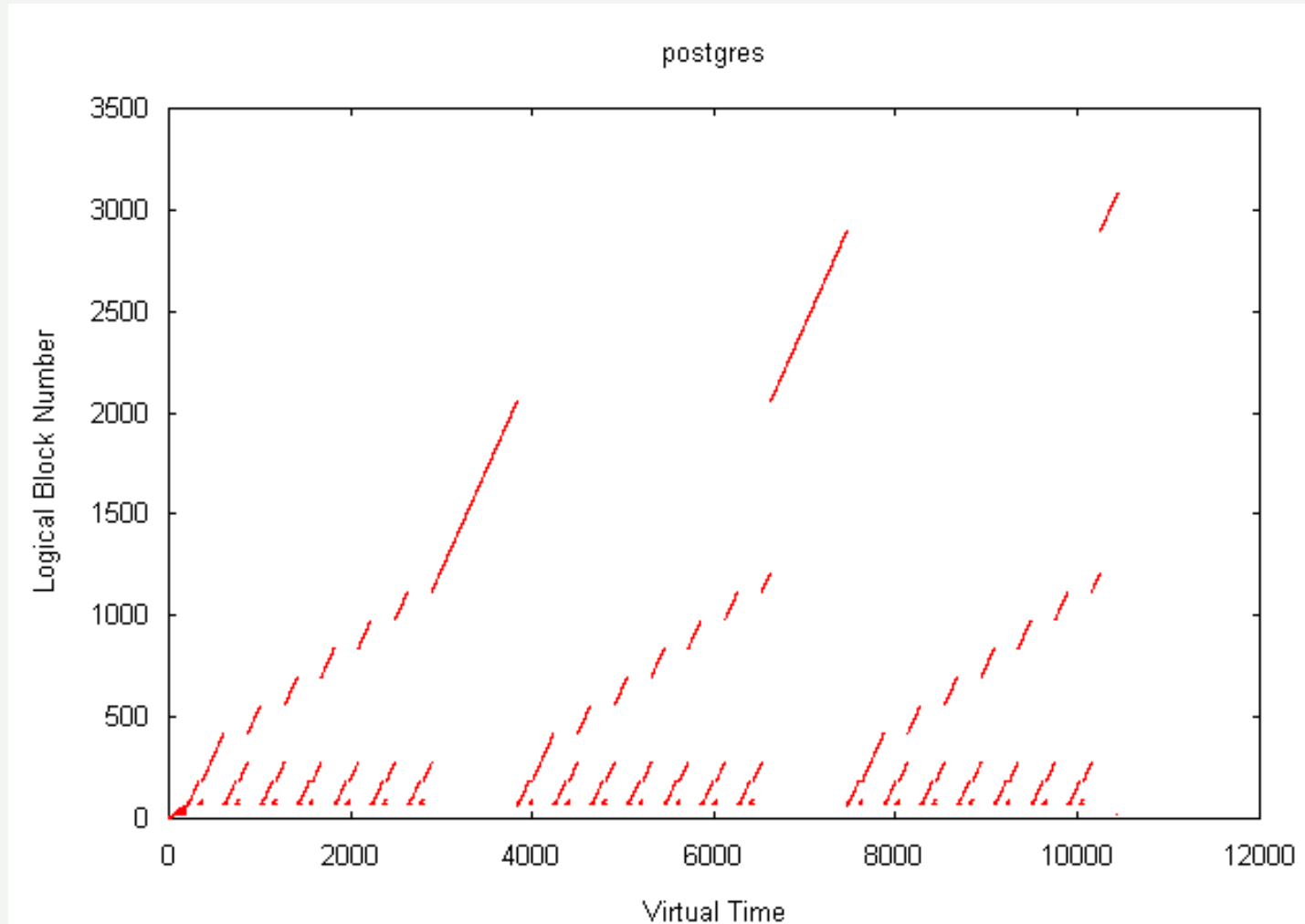
# Performance Evaluation

- Trace-driven simulation on different patterns shows
  - LIRS **outperforms** existing replacement algorithms in almost all the cases.
  - The performance of LIRS is **not sensitive** to its only parameter  **$L_{hirs}$** .
  - Performance is not affected even when **LIRS stack size** is **bounded**.
  - The time/space overhead is **as low as LRU**.
  - LRU can be regarded as **a special case** of LIRS.

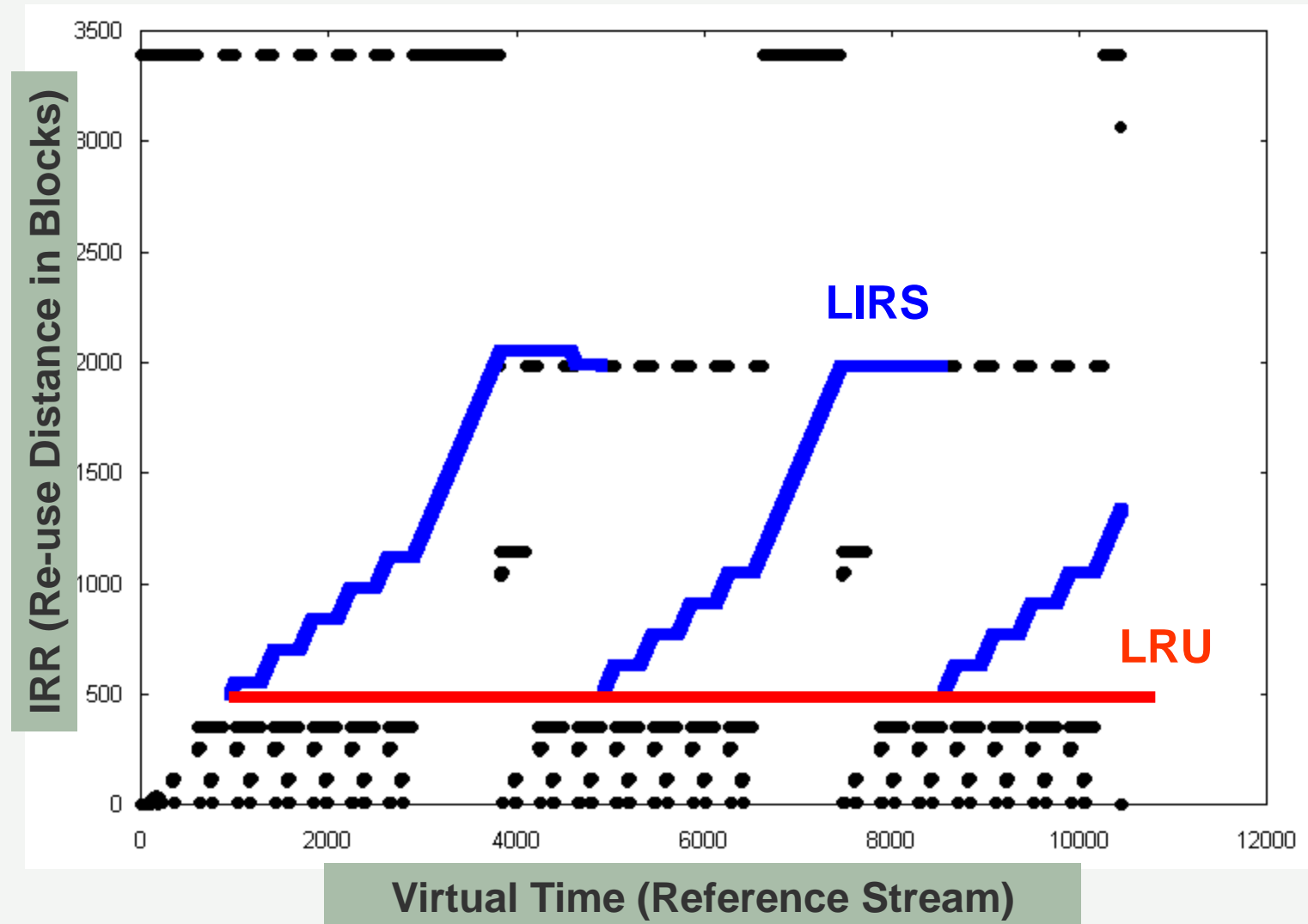
# Selected Workload Traces

- **2-pools** is a synthetic trace to simulate the distinct frequency case.
  - **cpp** is a GNU C compiler pre-processor trace
  - **cs** is an interactive C source program examination tool trace.
  - **glimpse** is a text information retrieval utility trace.
  - **link** is a UNIX link-editor trace.
  - **postgres** is a trace of join queries among four relations in a relational database system
  - **sprite** is from the Sprite network file system
  - **multit1**: by executing 2 workloads, cs and cpp, together.
  - **multi2**: by executing 3 workloads, cs, cpp, and postgres, together.
  - **multi3**: by executing 4 workloads, cpp, gnuplot, glimpse, and postgres, together
- (1) **various patterns**, (2) **non-regular accesses** , (3) **large traces**.

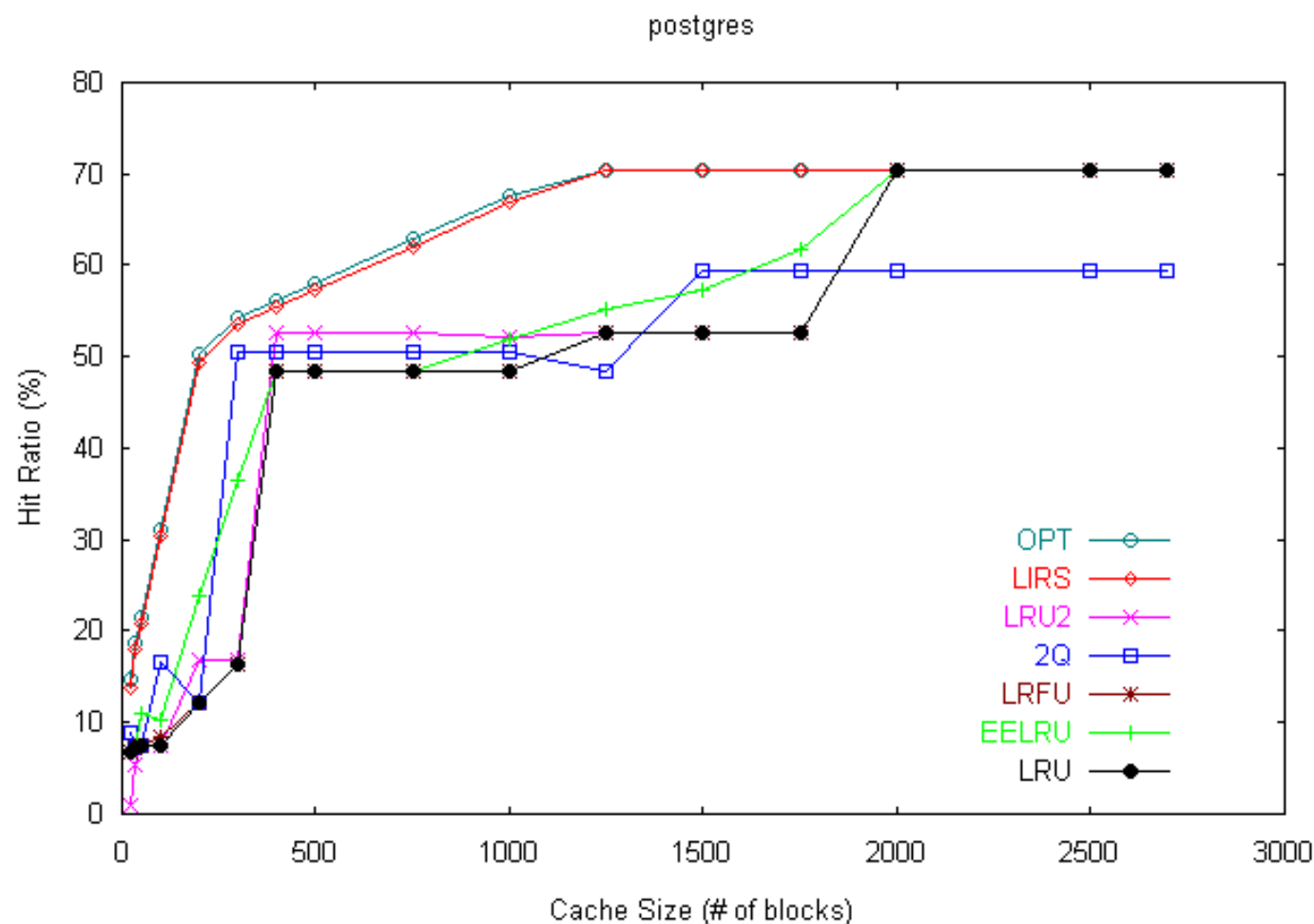
# Looping Pattern: postgres (Time-space map)



# Looping Pattern: postgres (IRR Map)



# Looping Pattern: postgres (Hit Rates)



# Impact of LIRS

- LIRS is a **benchmark** to compare replacement algorithms
  - **Reuse distance is first used in buffer management**
  - **A paper in SIGMETRICS'05 confirmed that LIRS outperforms all the other replacement.**
  - **LIRS has become a topic to teach in both graduate and undergraduate classes of OS, performance evaluation, and databases at many US universities.**
  - **A high number of citations to the LIRS paper.**
- **Linux Memory Management group has established an Internet Forum on **Advanced Replacement**, including LIRS**

# LIRS has been adopted in MySQL

- MySQL is the most widely used relational database
  - 11 million installations in the world
  - The busiest Internet services use MySQL to maintain their databases for high volume Web sites: **google, YouTube, wikipedia, facebook, ...**
  - LIRS is managing the **buffer pool** of MySQL
  - The adoption is the most recent version (**5.1**), November 2008.
- LIRS is documented as **Jiang-Zhang caching algorithm** in MySQL.



LIRS-MySQL-jiang-zhang.mht





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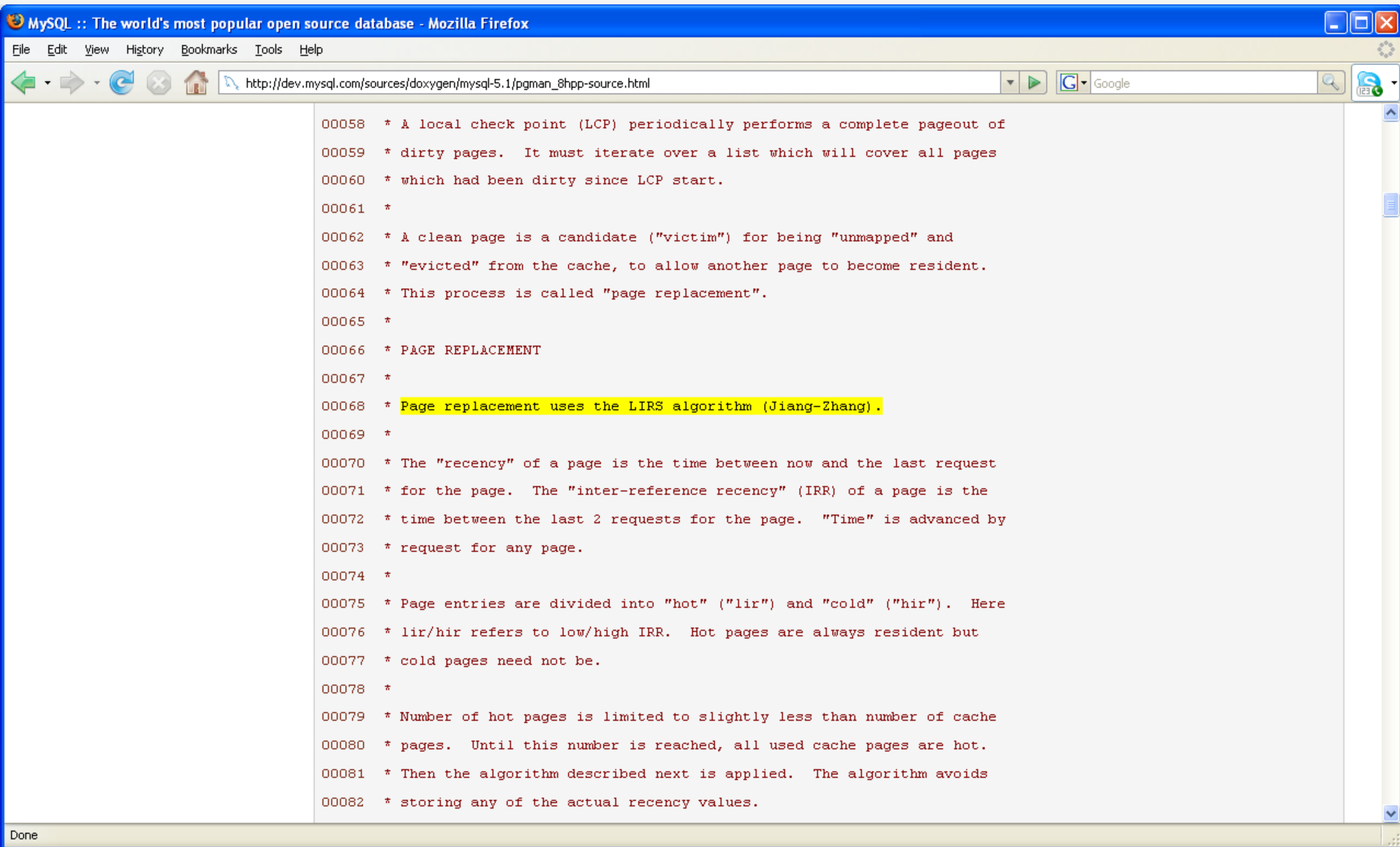
### mysql/src/5.1-dbg/storage/ndb/src/kernel/blocks/pgman.hpp

Go to the documentation of this file.

```
00001 /* Copyright (C) 2003 MySQL AB
00002
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```



Open  
Source  
Backup



# LIRS is adopted in Java Library

- LIRS has been adopted in Infinispan, a Java-based data grid
- LIRS is being adopted in Java Class of
  - ConcurrentLinkedHashMap
  - as a software cache management facility